

# SAP Audit Workshop – FI and MM + interpreting the findings



## Workshop Overview

Based on the feedback from the participants and the members, ISACA Malaysia Chapter is **putting together two popular workshops together** – FI and MM Auditing, into one comprehensive workshop – SAP Audit Workshop FI and MM, for you to gain an valuable skills in the SAP Audit. Without losing sight on the key focus area, this two-day program, concentrating on FI and MM, is specially designed with the objective to equip you with the knowledge on how to navigate the tricky world of SAP Auditing. The program aims to give the participants an understanding of key risks and controls, and the auditing perspective of key components in FI and MM.

You will be given the opportunity to experience “hands on” in performing an SAP Audit in a real environment, that has been set up specifically for the workshop. Be prepared for a grueling two full days of knowledge transfer. You will also gain insights into some of the potential and common challenges faced in SAP Audits within enterprises.

## Who Should Attend

Suitable for General Auditors, IT Auditors and IT Consultants who desire to gain a deeper understanding of SAP Audit in the area of FI and MM. Also for Compliance, Business and Finance users who want to understand SAP risks and security controls.

Pre-requisite : None

## What You Will Learn

- Understand what application control testing is and how it relates to SAP Auditing.
- How to implement dual controls in sensitive vendor and customer master data.
- Continuous monitoring via built-in reports over customer/vendor/cross company code transactions.
- How the Chart of Accounts is controlled.
- Incorporate tighter control via tolerance group control and controlling payment differences.
- Fine tune the auditing process incorporating real life corporate experiences.
- Continuous monitoring via built-in reports over vendor company code transactions.
- Potential risk in the area of PR, PO and outline agreements.
- Incorporate tighter control via tolerance group control.
- Understand SAP authorisation procedures and monitoring access into SAP critical transaction.

14 CPE hours



Hands-On  
Workshop with  
Computer Lab  
Facilities!

# Your Trainer

## Your Trainer

Lee Chin Hon, an active member serving on the ISACA Malaysia Chapter Board of Directors, is an SAP Certified Technology Associate (Business Process Operations). He is a Certified Information Systems Auditor (CISA) and Chartered Accountant (CA Malaysia and New Zealand). He is also the certified CSX Fundamentals Trainer.



Chin Hon's work experience includes being involved in a SAP ECC6 project implementation and managing the SAP data migration from various legacy systems. Currently, he is in charge of a SAP business strategy alignment exercise involving Finance, Sales and Procurement business.

To complement his SAP project experience, Chin Hon has been a master class trainer for the IT Governance Conference, and has conducted audits on numerous SAP applications for various organisations with over 15 years of working experience in both professional and commercial organisations.

## Course Outline (So much to learn until we have to reduce the font size to list out the items you will learn)

You will learn the following over the 2 days workshop:

- SAP key terms and terminologies
- Relations of FI in overall landscape
- Evaluating control robustness of your Chart of Account
- Dual control over vendor/customer sensitive data
- Payment terms and document change rules control
- Posting of transactions (evaluation and controls)
- Evaluating the financial month end controls
- Tax account control evaluation via tax type and properties
- Security mechanism over account settlement
- Auditing the payment differences control
- Dunning procedure controls (basic)
- Accessing into SAP system critical transactions
- Evaluating control robustness of your vendor master data
- Dual control over vendor sensitive data
- Control over Purchase Requisition, Purchase Order and Outline Agreements
- Release strategies (evaluation and controls)
- 3 ways matching concept and controls
- Payment run controls
- Security mechanism over releases of invoices
- Privileged access controls
- Maintaining security over authorization objects
- Auditing key user access and system parameters

# Details and Registrations

**Event:** SAP Audit Workshop – FI and MM  
**Date:** 25 – 26 April 2018  
**Venue:** Info Trek Sdn Bhd  
Unit-350, 3rd Floor, AmCorp Mall, 18 Persiaran Barat,  
Petaling Jaya, Selangor Darul Ehsan, 46050  
<http://www.info-trek.com/>  
**Fees:** RM 3,600 for ISACA members  
RM 4,200 for non members  
**Contact:** Mr Seelan, ISACA Office Administrator  
Mobile: 017 219 6225 | Email: [officeadmin@isaca.org.my](mailto:officeadmin@isaca.org.my)

### Important Notice

*As good practice, ISACA Malaysia Chapter is informing you that your personal data will be processed, retained and used by ISACA Malaysia Chapter in relation to this training event. Your personal data may also be retained and used by ISACA Malaysia Chapter to market and promote training events conducted by ISACA Malaysia Chapter.*

### Reservations & Registrations:

Places are LIMITED. Please register as early as possible. Registration will only be confirmed upon receipt of registration form, followed by payment, if applicable. If payment is applicable, upon receipt of the registration, the fee will be a debt due to ISACA Malaysia Chapter.

ISACA Malaysia Chapter reserves the right to change the venue, date, speakers, and programme or to cancel the programme should unavoidable circumstances arise. If applicable, a full refund of fees will be made in the event of cancellation.

### Payment Details:

Fees are not refundable once registration is confirmed, however, replacements may be sent. Cheques should be made payable to “Information Systems Audit And Control Association” and mailed to: ISACA Malaysia Chapter, Unit 916, 9th Floor, Block A, Damansara Intan, No.1, Jalan SS 20/27, 47400 Petaling Jaya, Selangor.

Alternatively, payment can be banked into: Maybank Account number – 512231822725. Bank in slip or Internet Banking confirmation MUST be faxed to 03-7726 1257 or emailed to officeadmin@isaca.org.my, with a cover note stating Event Name, Organisation / Participant(s) Name and Amount Banked In. Payment will not be recognised without this cover note.

**Note: This is an editable PDF.**

Participant Name	Designation	Membership No	Email

Organisation & Contact Details			
Organisation Name			
Address			
Contact Person			
Telephone		Department	
Fax		Email	