September, 2012

Welcome...

Fellow Chapter Members,

First off, we had a great turnout for our September meeting. I hope you enjoyed our membership appreciation month!

Recently, there has been increased interest in having a joint meeting with the IIA. I am pleased to announce that for the first time in about five years, we are planning a joint meeting with the IIA for October. This meeting will **NOT** be held at the Montgomery Inn nor will it be held during dinner hours. This will be a four CPE event, and will be held in Fairfield, OH. For more information, and to register for the event, please see page 2 in this newsletter.

During our November meeting, we will vote on the proposed changes to the Chapter Bylaws. The Bylaws are being updated to more accurately align with ISACA International's latest template. These changes have been posted to the website and are available for review by clicking here.

We are always looking for great speakers. Please send any proposals or ideas to our VP of Programs, Sandy Burden (sandy_burden@cinfin.com).

Do you have anything to contribute? Please send it to our Newsletter Editor Buky Thorpe (buky.thorpe@gmail.com).

If you are interested in becoming a member of the chapter, or would like additional information on membership please contact our VP of Membership, Mike Smith (mrsmith@gaic.com).

Remember, the greatest asset to the Cincinnati ISACA Chapter is our member community. If you have any questions or comments, please direct them to me (jhanfordisaca@gmail.com).

Jesse A. Hanford

Greater Cincinnati ISACA President

Data Analytics and ACL (Joint Meeting with IIA)

Speakers:	Frank Obermeyer, Cincinnati Financial
	Reema Singh, Deloitte
	David Dempsey, ACL
	George Gitson, Scotts (via video conference)

Date & Time:	Tuesday October 9, 2012, 10:00am – 2:00pm
Location:	Cincinnati Financial
	6200 South Gilmore Road
	Fairfield, OH 45014

Agenda:

10:00am to 11:00am	Welcome and Introductions
	Frank Obermeyer – Data Analytics
11:00am to 12:00pm	Reema Singh – Tools built using ACL
12:00pm to 12:30pm	Lunch –Panera Bread
12:30pm to 1:45pm	David Dempsey & George Gitson – Continuous Auditing and Automation using ACL
1:45pm to 2:00pm	Q&A

CPE:	4 CPE Hours

Cost:	$50 for members
	$60 for non-members

Please register for this meeting by October 4.

Cincinnati ISACA members and non-members can register by using the link at the chapter website or by sending an email to isaca_meetings@yahoo.com if you prefer to pay at the door.

The next CISA, CISM, CGEIT and CRISC exams will take place on 8 December 2012.

The final registration deadline is October 3, 2012.

Go to the ISACA website to register.

Research Update

ISACA has been furiously adding content to the research page of the website. Please click this link to access the new portal. The Research team has recently completed a few new deliverables. The new COBIT 5 downloads are available for free to all members and more guidance and education will be added in the future. We have completed research papers on Calculating Cloud ROI, Virtualization Desktop Infrastructure (VDI), Incident Management and Response, and the IPv6 Security and Audit Assurance Program. All of these items can be found in the Research portal referenced above.

Current projects in progress include Assurance Programs for Cybercrime, PK1, Biometrics, and VPN. The Research team is also working on the Cloud White Paper Series, Securing Mobile Devices Using COBIT 5, and SOC2: A User Guide. ISACA is seeking Security SME Reviewers for the Mobile Device Management project mentioned above. If anyone is interested, please contact Justin Hedric (Justin.Hedric@53.com).

A study is also being conducted related to IT Project Portfolio Practices. If you are able, please complete the survey that can be found under the ISACA Updates section of the My ISACA portal on ISACA.org.

Justin Hedric,
Research Director

How to Earn and Report CPE

Did you know that ISACA certified members can earn more than 70 FREE CPEs per year!

ISACA certified members have several options to obtain their continuing professional education credits. Several of these choices are listed below with specific instructions.

Journal quizzes: Earn one CPE for each of six journals per year. 6 FREE CPEs per year

Serving as an ISACA Volunteer: Participate on an ISACA or ITGI board, committee, task force or as an officer of an ISACA chapter, and gain one CPE credit (up to 20 per year) for each hour of active participation. (Consult Qualifying Educational Activities for CISA, CISM, CGEIT and CRISC members.) 20 FREE CPEs per year

Mentoring: Earn one CPE for each hour of mentoring efforts directly related to coaching, reviewing or assisting an individual with CISA/CISM/CGEIT/CRISC exam preparation or providing career guidance through the credentialing process. 10 FREE CPEs per year

TOTAL Possible FREE CPEs for ISACA Certified Members: 36 FREE CPEs per year

How to Report CPEs in your Profile

CPEs are reported annually during the renewal process. CPEs earned in the current year may be entered in your profile once the next year's renewal period opens. Reporting of CPEs can be done online or by submitting the information on the annual renewal invoice.

To update CPE hours through the ISACA website, log on using your personalized log in credentials and follow the steps below.

Click on the **MY ISACA** tab at the top of the page

Click on the **MY CERTIFICATIONS** tab

Click on the **EDIT MY CPE Hours** link

The CPE reporting is located on the My Demographic, Certification CPE and Other Information tab. Scroll to the bottom of the page to view and edit the appropriate CPE fields. If you do not see a CPE section, CPE hours are not being accepted or you are not required to report CPEs yet.

Enter CPE hours – then click SAVE at the bottom of the page

For more information about the specific Continuing Professional Education (CPE) requirements for your certification, please see the following link.

Information Technology Internal Audit Manager

American Modern Insurance Group has an immediate opportunity for a Information Technology Internal Audit Manager.

About the American Modern Insurance Group Audit：

American Modern Insurance Group, a subsidiary of Munich Re Group, has a risk-based rotation-oriented audit approach. Our approach identifies, develops, and tests internal control policies and procedures within business process and information technology environments. These services may be provided as part of individual projects resulting from performance of the annual audit plan, or an audit of financial statements, or implementation of new technologies, or reliance on third–party service providers. In addition, we may provide assurance in connection with custom system development projects and applications requiring the testing of data quality and integrity. Our companies face an increasing variety of internal control requirements today, including those associated with the European Union's Solvency II, National Association of Insurance Commissioners Model Audit Rule (similar to Sarbanes–Oxley Section 404), as well as other industry control requirements. We work with the company to effectively leverage controls to meet requirements and to benefit the business.

Services include：
- Compliance Controls Reviews, including readiness services for addressing requirements, such as Model Audit Rule
- Data Quality and Analysis
- System Project Assurance

Detailed Responsibilities：

- Identify and evaluate business and technology risks, internal controls which mitigate risks, and related opportunities for internal control improvement
- Assist in the selection and tailoring of approaches, methods and tools to support service offering or industry projects
- Generate innovative ideas and challenge the status quo
- Facilitate use of technology–based tools or methodologies to review, design and/or implement products and services
- Provide training, technical guidance and advisory support to staff
- Coordinate with the external auditors and ensure compliance with applicable professional standards
- Demonstrate a general knowledge of market trends and competitor activities
- Build and develop positive working relationships with our internal clients with the intention to exceed client expectations
- Understand our business environment and basic risk management approaches
- Interfaces with IT Risk and Security Management group on a regular basis
- Membership and visibility in professional & civic organization (preferred)

Experience and education requirements:

- BA/BS in Business Administration, Accounting, Computer Science, Information Systems Administration or related field; Masters in Accounting or Information Systems; MBA a plus
- CISA certification is required
- CPA or CIA® certification is a plus
- 6+ years experience in the areas of public accounting, internal auditing or consulting
- SAP, Oracle or PeopleSoft controls experience desirable
- Familiarity with UNISYS and IBM mainframe, AS/400, client server security and controls issues
- Experience with Model Audit Rule/ Sarbanes–Oxley and/or COSO/ CoBIT/ ITIL
- Advanced understanding of business processes, internal control risk management, IT controls and related standards
- Experience with accounting control related issues
- Strong technical and/or management background in technical systems/environments
- Demonstrated ability to plan and manage engagements along with ensuring deliverables meet work plan specifications and deadlines
- Successful experience identifying controls, developing and executing test plans
- Demonstrated ability to write report and to participate in presentations
- Open to travel requirements (up to 40%)

To find out more or to apply for this position, please contact：
Brittany Lynn, Recruiter II, American Modern Insurance Group
(P) 513-947-5298 (F) 513-947-4467
brlynn@amig.com or via LinkedIN

Deloitte Career Opportunities

Information Technology Audit Specialist
- Manager
- Senior Consultant
- Consultant

Locations: *Cincinnati ,Detroit, Cleveland, Pittsburgh*

About Deloitte:

Deloitte is one of the leading professional services organizations in the United States specializing in audit, tax, consulting and financial advisory services with clients in more than 20 industries. We provide powerful business solutions to some of the world's most well-known and respected companies, including more than 75 percent of the Fortune 100.

At Deloitte, you can have a rewarding career on every level. In addition to challenging and meaningful work, you'll have the chance to give back to your community, make a positive impact on the environment, participate in a range of diversity and inclusion initiatives, and get the support, coaching, and training it takes to advance your career. Our commitment to individual choice lets you customize everything from your career path to your educational opportunities to your benefits. And our culture of innovation means your ideas on how to improve our business and your clients' will be heard.

Financial Statement & Internal Control Audit services provide audits and reviews of financial statements and reporting on internal controls in accordance with applicable professional standards. Our audits are planned to be responsive to our assessment of the risk of material misstatement based on the understanding we gain of the organization and its environment, including its internal control. We utilize this understanding to design the nature, timing, and extent of our audit procedures, while maintaining an objective and independent attitude. We also strive to provide timely communications regarding professional developments, and insights regarding matters that may affect the organization's business.

We are currently seeking IT Audit professionals for Manager, Senior Consultant and Consultant positions. For more information about these opportunities and to apply, please visit our web site at www.deloitte.com/us/careers

Senior Information Technology Internal Auditor

Western & Southern Financial Group has an immediate opportunity for a Senior Information Technology Internal Auditor.

Summary of Responsibilities:

Performs and supervises risk-based internal audit activities for the Company and its subsidiaries. Performs risk-based integrated reviews of financial, operational, systems and management controls of the Company. Provides assistance to the external auditors and regulatory auditors while maintaining effective working relationships with business unit management. Provides consulting services to management. Serves as in-charge auditor on various audit projects. Works with minimal supervision and is responsible to make and established range of decisions, escalates to manager when necessary and updates manager on a regular basis.

Detailed Responsibilities:

- Performs and supervises risk-based integrated audit procedures on scheduled audit projects in accordance with approved risk-based integrated audit programs and in a timely, efficient, and professional manner.
- Demonstrates a working knowledge of internal control concepts for each audit project and provides timely information to the Director, Internal Audit during the audit project regarding weaknesses noted and recommendations for corrective action.
- For assigned audit projects, demonstrates the ability to clearly document and evaluate the internal controls present in the manual and automated systems being reviewed, identifying internal control strengths and weaknesses.
- Prepares and reviews audit work papers that accurately and sufficiently document audit tests performed, in accordance with departmental guidelines (i.e., Audit Procedures Manual (APM)).
- Drafts and reviews written audit reports which accurately describe results of tests performed, the nature of control weaknesses and exposures, expresses an opinion on the adequacy of internal controls and overall procedures and identifies practical recommendations for improvements.
- Completes the Internal Audit self-study program in order to gain advanced knowledge of internal control concepts, audit testing techniques, data processing knowledge, financial, systems and operational audit knowledge and human relations skills.
- Assists external auditors and regulatory auditors in the performance of scheduled audit activities.
- Assists in the performance of a periodic risk assessment of all Company functions in order to recommend appropriate audit projects as part of the audit planning process.
- Develops specifications for automated audit software working closely with Information Systems personnel to satisfy audit software needs.
- Provides internal controls consulting on significant Company initiatives to our customers as requested.
- Performs special reviews/projects as requested.
- Implements computer-assisted audit techniques and practices auditing by exception techniques to improve audit effectiveness and efficiency.
- Supervises work product of one or more internal auditors and one college intern on audit assignments.
- Performs other duties and projects as assigned by management.

Senior Information Technology Internal Auditor….Continued from previous page

Western & Southern Financial Group has an immediate opportunity for a Senior Information Technology Internal Auditor.

Selection Criteria:

- Proven experience as an Auditor in a "Big Four" accounting firm or Fortune 500-type company with demonstrated knowledge of internal control concepts and risk-based integrated audit techniques.
- Thorough knowledge of accounting and risk-based integrated auditing.
- Proven ability to develop or adapt risk-based audit programs/procedures on an as-needed basis.
- Proven experience coordinating multiple projects/assignments (3 to 4) simultaneously and completing assigned tasks accurately and on a timely basis. Possesses a strong attention to detail with excellent organization skills. Solid project management with proven experience successfully planning, executing and maintaining a project from start to finish.
- Strong verbal and written communication skills with demonstrated success interpreting and communicating business needs between internal groups and external vendors in a clear, focused and concise manner. Will be expected to provide examples of written audit reports.
- Proven work experience directing others to initiate a recommended course of action to solve a problem or increase efficiency.

Qualifications:

- Bachelor's degree in accounting, business administration, information systems, computer science or related field of study.
- Computer skills and knowledge of hardware & software required:
- Proficiency in the use of Microsoft Office (Word, Excel, Access, Visio).
- Significant experience auditing automated systems, including mainframes and LAN- and WAN-based applications.
- Significant experience with utilizing automated audit tools, including ACL, Easytrieve Plus, etc.
- Certifications & licenses (i.e. Series 6 & 63, CPA, etc.):
- Certification as a Certified Public Accountant (CPA), Certified Internal Auditor (CIA) or Certified Information Systems Auditor (CISA).
- Willingness to travel 10 to 15% annually.

To find out more or to apply for this position, please contact:
Amy Gellen, Corporate Recruiter
amy.gellen@westernsouthernlife.com

I hope you have enjoyed reading this edition of the chapter newsletter. The goal of this newsletter is to keep you informed on the activities happening in your chapter but I would also like to hear from you.

Please reach out to me at buky.thorpe@gmail.com and let me know what you think of the newsletter; what do you like? What don't you like so much? What other content would you like to see in the newsletter? I would love to hear from you.

As always, don't hesitate to contact me if you have any content that you would like to be included in the newsletter.

Some examples of articles/content include:

1. Your most interesting audit/lessons learned from an audit
2. Your take and/or opinion on the latest PCAOB standard/update
3. Any topic you feel you can speak on (keeping your audience in mind)
4. Open positions at your organization that would be a good fit for ISACA members
5. Live or virtual opportunities to earn CPE credits.

Please email me at buky.thorpe@gmail.com with any article or content you would like to be included in the newsletter.

Thank you,

Buky Thorpe

The Greater Cincinnati ISACA Chapter is a not-for-profit professional association serving the information technology audit, security, and control community. We support the areas of Cincinnati & Dayton, Ohio and Northern Kentucky. The chapter consists of over 400 professionals that represent a cross-section of the public, private, and not-for-profit business sectors at all levels within those industries.

Purpose
To promote the education of individuals for the improvement and development of their capabilities relating to the auditing of and/or management consulting in the field of Information Technology audit and control.

Please visit the chapter website at www.isaca-cincinnati.org to learn more.
Connect with other chapter members by joining the Greater Cincinnati ISACA LinkedIn and Facebook groups!

Visit www.isaca.org to learn more about the organization.