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## Welcome...

Greater Cincinnati ISACA Chapter Members,

The warm weather has arrived. I think I speak for all of us when I say thank goodness Spring has finally sprung!

Our next meeting on May 7, 2013 is our Annual General Meeting. After our guest speaker, I have prepared a brief presentation during the meal on our performance over the past 12 months. Overall, we had a great year. We have experienced high attendance during our winter-month meetings, had our first joint meeting with the IIA in recent history, and sold out of our Spring Seminar! Membership and Certification numbers are also at an all-time high. As of this writing, we have over 480 members!

ISACA Cincinnati has also increased awareness within higher-learning institutions. Recently, our Academic Coordinator, along with several other board members, attended The University of Cincinnati's Senior Design presentations and attended the UC IT Expo 2013. In addition, the Cincinnati ISACA Chapter presented at the Miami University Student Group in February.

Together, we have accomplished much more than I could have ever imagined. It has been an honor and a privilege to serve as your president this past year. As we celebrate 40 years as a chapter, expect many more great events next year!.

Jesse A. Hanford  
Greater Cincinnati ISACA President

# Monthly Meeting

## COSO Framework Update

**Speaker:** Dr. Sandy Richtermeyer, Xavier University

**Time:** 5:30PM, May 7, 2013

**Location:** Montgomery Inn, Montgomery

Please check the Greater Cincinnati Chapter website for more information.

[[http://www.isaca-cincinnati.org/Events/Monthly\\_Meetings.htm](http://www.isaca-cincinnati.org/Events/Monthly_Meetings.htm)]

**Please register by May 3**

Cincinnati ISACA members and non-members can register by using the [link](#) at the chapter website or by sending an email to [isaca\\_meetings@yahoo.com](mailto:isaca_meetings@yahoo.com) if you prefer to pay at the door.

## RACF Users Group

Mark your calendars, the KY, OH, IN RACF Users Group is having a free meeting on May 2nd at Cincinnati Financial.

<b>Date &amp; Time.</b>	May 2, 2013 8:30AM - 3:30PM
<b>Topic.</b>	RACF Users Group (see overview below)
<b>Speakers.</b>	John Reale, III, IBM Robert S. Hansel, RSH Consulting, Inc. Dave Rivard, SSH Communication Security
<b>Location.</b>	Cincinnati Financial Corporation, 6200 S. Gilmore Rd., Fairfield, Ohio 45014
<b>Tuition.</b>	Free

### Overview.

#### **A View from RACF Level 2**

Technical tips (from Slips to APARs), how tos, and "frequently seen problems" will be a focus of this presentation. An example would be 'locating the caller of RACF'. RACF, while called by many resource managers, doesn't actually "decide" access, it recommends (via a RC, etc.) to resource managers. (A list of current APARs will be discussed in this session... maybe)

#### **SETROPTS**

Explore the many RACF options you can set with SETROPTS. Learn what to activate and what to avoid. Find out how the options affect logging, access controls, performance, and passwords. Receive recommendations on for setting each option. Hear how your peers are using these options. Bring a copy of your own SETROPTS LIST to gain the most from this presentation.

#### **The APPL Class**

Find out when and why access to resources in the APPL class is checked. Learn how to activate this check for TSO and about a new performance enhancement feature implemented via APPL profiles. Bring the output from the following two RACF commands with you for examination and discussion during this presentation.

```
SEARCH CLASS(APPL)
RLIST APPL #RSH# ALL
```

#### **z/OS Security outside of SAF and RACF**

Managing authentication and authorization events that occur outside RACF with encrypted SSH protocol. We will also be discussing monitoring encrypted traffic.

We welcome both members and non-members to the seminar. To register, or find out more details contact Barb Rhoads. Phone: 513-870-2000 x4457 or Email: Barb\_Rhoads@cinfin.com

Visit our website for more details: <http://www.rshconsulting.com/rugs/KOIRUG.htm>

## ISACA 2013 Certification

The next opportunity to sit for an ISACA certification exam is 8 June 2013. Registration is still open for the following exams:

- Certified Information Systems Auditor (CISA)
- Certified Information Systems Manager (CISM)
- Certified in the Governance of Enterprise IT (CGEIT)
- Certified in Risk and Information Systems Control (CRISC)

The June 2013 Exam Registration Fees are as follows.

	Member	Non-Member
Online final registrations	\$460	\$635
Mailed/Faxed final registrations	\$535	\$710

To register or find out more details visit this [link](#)

## How to Earn and Report CPE

**Did you know that ISACA certified members can earn more than 70 FREE CPEs per year!**

ISACA certified members have several options to obtain their continuing professional education credits. Several of these choices are listed below with specific instructions.

**Journal quizzes:** Earn one CPE for each of six [journals](#) per year. 6 FREE CPEs per year

**Serving as an ISACA Volunteer:** Participate on an ISACA or ITGI board, committee, task force or as an officer of an ISACA chapter, and gain one CPE credit (up to 20 per year) for each hour of active participation. (Consult Qualifying Educational Activities for CISA, CISM, CGEIT and CRISC members.) 20 FREE CPEs per year

**Mentoring:** Earn one CPE for each hour of mentoring efforts directly related to coaching, reviewing or assisting an individual with CISA/CISM/CGEIT/CRISC exam preparation or providing career guidance through the credentialing process. 10 FREE CPEs per year

**TOTAL Possible FREE CPEs for ISACA Certified Members:** 36 FREE CPEs per year

### How to Report CPEs in your Profile

CPEs are reported annually during the renewal process. CPEs earned in the current year may be entered in your profile once the next year's renewal period opens. Reporting of CPEs can be done online or by submitting the information on the annual renewal invoice.

To update CPE hours through the ISACA website, log on using your personalized log in credentials and follow the steps below.

Click on the **MY ISACA** tab at the top of the page

Click on the **MY CERTIFICATIONS** tab

Click on the **EDIT MY CPE Hours** link

The CPE reporting is located on the My Demographic, Certification CPE and Other Information tab. Scroll to the bottom of the page to view and edit the appropriate CPE fields. If you do not see a CPE section, CPE hours are not being accepted or you are not required to report CPEs yet.

Enter CPE hours – then click SAVE at the bottom of the page

For more information about the specific Continuing Professional Education (CPE) requirements for your certification, please see the following [link](#).

# Job Opportunities

**Company:** American Modern Insurance Group

**Position:** Senior IT Governance Analyst, Amelia, OH

**Location:** Cincinnati, OH

## About the Company :

American Modern Insurance Group is a member of the Munich Re Group, a global insurance organization. American Modern Insurance Group delivers “beyond standard” financial stability in the insurance marketplace. American Modern is rated A+ (superior) by A.M. Best and has been selected as one of the Ward Financial Group’s “Top 50” property and casualty insurers for 13 consecutive years.

## Job Description.

This role is responsible, to coordinate governance topics, such as mapping IT processes to the COBIT framework, Internal Control Assessments, IT Audit coordination, analyzing IT processes and providing recommendations. This role also focuses on IT policy and procedure documentation and administration. Responsibility for status reports around open audit issues, remediation and other efforts pertaining to IT Governance. A solid understanding of IT and audit processes and the COBIT framework is required.

## Specific Responsibilities.

- Be an active participant on the IT Governance Council.
- Coordinate the mapping of IT processes to the COBIT framework.
- Coordinate the yearly Internal Control Assessment process including working with IT Audit and the Enterprise Risk Management team.
- Coordinate the development and maintenance of IT policy, standard and procedure documentation and administration. Working with IT Management and various IT teams, the position will be charged with ensuring documentation is updated on a regular basis, new documentation is created as needed, and identifying best practice recommendations improvement opportunities.
- Responsible for documenting status reports around open audit, remediation, and policy procedure administration efforts. The position will also be required to maintain background history of audit and remediation efforts and be tasked with creating supplemental reports for Management as needed.
- Assist with internal regulatory and compliance reviews assessments. Assistance may include planning scoping, testing, and reporting.
- Collaborate with various IT teams

## Job Requirements.

- Knowledge of information systems terminology, concepts, and practices.
- 2 + years in a procedures and controls function in a large corporate environment.
- 3 + years experience in internal and or external auditing or governance consulting function.
- 2 years experience in project management
- Very strong facilitation skills
- Preferred:
  - Ability to be organized and pay attention to detail
  - 4-year college degree
  - Multi-tasking required
  - Self- sufficient and self-starter
  - CRISC, CISA preferred

**To find out more or to apply for this position, contact :**

Brittany Lynn, Recruiter

[brlynn@amig.com](mailto:brlynn@amig.com)

(513) 947-5298

# Job Opportunities

**Company:** Ascendum Solutions

**Position:** IT Auditor (3 months contract)

**IT Auditor responsibilities.**

- This position will be responsible for managing the IT aspects of our SOX and audit program.
- Responsible for managing, updating, and validating all SOX narratives.
- Primary contact for IT with Internal Audit and External audit for all audits on IT processes. That includes SOX, specific internal audits, and department of insurance audits.
- Responsible for managing and executing SOX controls around attestations and reviews. This includes working with other departments in IT to make sure standards and procedures are being followed and documented correctly.

**Qualifications.**

- Ability to work with people at various levels of the organization
- Attention to detail
- Working knowledge of SOX, and other standard audit controls
- Good understanding of Information security's role in IT controls
- Financial and Insurance specific audit controls a plus
- IT Audit and Information Security certifications a plus
- Ability to drive work on your own

**To find out more or to apply for this position, contact :**

Terri Connor, Senior Technical Recruiter

Ph: (513) 619-8805

[terri.connor@Ascendum.com](mailto:terri.connor@Ascendum.com)

# Job Opportunities

**Company:** Protiviti

**Position:** IT Audit Senior Consultant, Cincinnati, OH

## **Job Description.**

The Senior Consultant has primary responsibility for direct supervision of Consultants in executing internal audit project work plans. The Senior Consultant has direct, client-facing engagement responsibilities. Serving as both role model and trainer, the Senior Consultant demonstrates the attributes of excellent client service and assists team members in developing technical and professional competency. The Senior Consultant learns to identify areas of risk and opportunities to improve business processes.

## **Specific Responsibilities.**

- Reviewing, documenting, evaluating and testing controls in a wide range of environments.
- Communicating control strengths and weaknesses to the client or internal audit engagement team and developing effective solutions.
- Ensure timely completion of assigned project phases.
- Develop and maintain effective client relationships.
- Apply understanding of Protiviti policies and proficiency in Protiviti methodologies.
- Apply understanding of business processes and technical skills to successful completion of project assignments.
- Develop understanding of project requirements and client's business.
- Supervise and train project personnel.
- Preparing audit reports on findings and recommendations to senior management.
- Ability to travel

## **Job Requirements.**

General and Technical Skills:

- Prior project management and supervisory skills.
- Interpersonal skills to interact in team environment and foster client relationships.
- Demonstrated understanding of the importance of business ethics.
- Above average written communication skills including documentation of findings and recommendations.
- Strong analytical skills.
- Must be able to handle highly confidential information in a strictly professional manner.
- Must be able to maintain professional demeanor in times of high stress.
- Understanding of current accounting (GAAP) and auditing (GAAS) principals.
- Understanding of internal control concepts (COSO, COBIT).
- Knowledge of auditing processes and methodologies, including flowcharting.
- Knowledge of Sarbanes-Oxley Act provisions and methodologies for achieving compliance.
- Proficient in Microsoft Office suite applications.

Education & Professional Credentials

- Bachelor's degree in relevant discipline (e.g. Accounting, Finance or Business Related Field) required. (Min.GPA 3.0)
- 3+ years in a related field, preferably in professional services and/or industry.
- Professional Certification such as CISA strongly preferred.
- "Big 4" experience in Internal Audit strongly preferred.
- Deep experience auditing financial services companies and banking institutions in particular.
- Audit experience in the Home lending / credit area. Experience in audit or operations of the credit life cycle from originations, servicing to retirement or default.
- IT experience is a plus

**To find out more or to apply for this position, contact :**

Shelley Piontek, Experienced Hire Recruiting Specialist- Central Region [shelley.piontek@protiviti.com](mailto:shelley.piontek@protiviti.com)

# Job Opportunities

**Company:** Chan Healthcare

**Position:** IT Audit Manager, Cincinnati, OH

**Location:** Cincinnati, OH

## About the Company :

**MORE AUTONOMY:** At CHAN, you'll work independently, while receiving industry-leading support and technology.

**MORE BALANCE:** We believe it's important to balance our work and personal lives. Most of our auditors work regular hours at the hospital or health system they are assigned.

**MORE PERSONAL SATISFACTION:** At CHAN, you'll be able to witness and experience the impact that your recommendations have on your client's day-to-day healthcare operations.

**CHAN OFFERS:** Highly competitive compensation and relocation packages, medical, dental, vision, generous paid time off, 401k, pension, tuition reimbursement, individual education budgets, exceptional development opportunities...

## Job Description.

We are looking for an exceptional and experienced IT Audit professional in Cincinnati, OH who is self-motivated, bright and wants more for themselves. If you have strong values, a passion for success and thrive on challenges, apply today.

The successful candidate will work on-site with senior staff, including the CIO, CEO and CFO to develop the internal IT audit program, perform various audits, and manage the site's internal IT audit function.

## Specific Qualifications

- A Bachelor's Degree
- 8+ years of IT audit experience
- Professional certification as a CISSP, CPA, CIA, or CISA is preferred
- Demonstrated ability to successfully communicate with people at all levels of the organization
- Excellent verbal and written communication skills

## To find out more or to apply for this position, contact .

Contact Name: Susan Scott, Recruiting and Retention Manager

[recruiter@chanllc.com](mailto:recruiter@chanllc.com)

314-802-2088

I hope you have enjoyed reading this newsletter. As you can tell from the several job postings in this edition, the word is getting out that firms and recruiters can post their available audit and security-based openings in our newsletter and on our website without charge. Help bring jobs and job seekers together by promoting opportunities at your organization, your fellow ISACA members will appreciate it.

Email me at [buky.thorpe@gmail.com](mailto:buky.thorpe@gmail.com) and the Webmaster at [andrew.selig@53.com](mailto:andrew.selig@53.com) with the details of any job opportunities you are aware of. Please note that positions may have been filled or new positions added prior to the newsletter publication, so always see our website for any updates and for complete details.

As always, I would like to hear from any member that is willing to write a brief article for the newsletter that would be of interest to fellow members.

Some examples of articles/content include:

1. A description of a productive or cost-saving audit/security technique that your organization uses
2. Your take and/or opinion on the latest PCAOB standard/update
3. A review of an audit/security tool used by your organization
4. A review of a book that you have read recently that has helped you do your job better
5. Tips and techniques for auditing/securing a particular risk area
6. A summary of an emerging technology being used in your organization and how you are controlling it
7. Live or virtual opportunities to earn CPE credits.

The articles or content can be sent to [buky.thorpe@gmail.com](mailto:buky.thorpe@gmail.com).

Finally, you can also reach out to me to let me know what you think of the newsletter; What do you like? What don't you like so much? What other content would you like to see in the newsletter? I would love to hear from you.

Thank you,  
Buky Thorpe

# About Our Chapter

Founded in 1973, the Greater Cincinnati ISACA Chapter is a not-for-profit professional organization serving IT Audit, Risk, Security, and Governance professionals in the Greater Cincinnati market. The chapter consists of over 450 professionals that represent a diverse mix of public, private, and not-for-profit business sectors at all levels within those industries. Members of the Greater Cincinnati ISACA Chapter have the opportunity to earn 36 CPE hours annually through various events and seminars. The greatest asset to the Greater Cincinnati ISACA Chapter is its membership community.

## **Purpose**

To promote the education of individuals for the improvement and development of their capabilities relating to IT Audit, Security, Risk, and Governance in the field of Information Technology audit and control.

Please visit the chapter website at [www.isaca-cincinnati.org](http://www.isaca-cincinnati.org) to learn more.  
Connect with other chapter members by joining the Greater Cincinnati ISACA LinkedIn group.

Visit [www.isaca.org](http://www.isaca.org) to learn more about the organization.