February, 2013

Welcome...

Greater Cincinnati ISACA Chapter Members,

On Monday, February 11th, Jon Tudor (Chapter Treasurer) and I presented to the Miami University Student Group on campus. The presentation was on IT Audit, the benefits of IT Audit career paths and different Audit industries. The presentation was well received by the students, and provided excellent networking and feedback for the Greater Cincinnati Chapter. Under the direction of Dr. Jeff Merhout, The Miami University ISACA Student Group is one of only 14 officially recognized student groups in the world.

As mentioned in the previous newsletter, ISACA International was looking for COBIT 5 instructors. I'm pleased to announce that Robert Meyer and Veronica Sanford from the ISACA Cincinnati chapter were selected for the COBIT5 instructor training from ISACA International. Congratulations Robert and Veronica! This is a great opportunity, and we look forward to presentations in the future.

Our Spring seminar is coming up on April 11th and 12th. The topic this year is Database Security and Audit. See page 3 of this newsletter for more details.

Also, the Cincinnati IIA Chapter is hosting a CPE day. See page 4 for more details.

This month begins our "Meet the Board Member" series. This is a short bio about your chapter board members so you can learn a little more them and put a face to the names. Click here to see the first entry and learn a little more about me.

As always we are looking for great speakers. Please send any proposals or ideas to our VP of Programs, Sandy Burden (sandy_burden@cinfin.com).

Do you have anything to contribute? Please send it to our Newsletter Editor Buky Thorpe (buky.thorpe@gmail.com).

If you are interested in becoming a member of the chapter, or would like additional information on membership please contact our VP of Membership, Mike Smith (mrsmith@gaic.com).

Remember, the greatest asset to the Cincinnati ISACA Chapter is our member community. If you have any questions or comments, please direct them to me (jhanfordisaca@gmail.com).

Jesse A. Hanford

Greater Cincinnati ISACA President

COBIT 5 Standard

Speaker: Robert Meyer, Cincinnati Financial Corporation

Date & Time: Tuesday, March 5th, 2013, 5.30pm

Location: The Original Montgomery Inn, Montgomery, OH

Overview:

This presentation will cover an introduction to COBIT 5 and the relationships with the frameworks of CMMI for Service 1.3, the SEI framework, and ITIL Version 3. The IT Service Management framework is included and will illustrate how ISACA has expanded the COBIT 4 design. The COBIT standard was introduced by ISACA in 1996, and been updated on 3 prior occasions, the most recent June 2012. The consolidation of ISACA products VAL-IT and RISK-IT with the COBIT 4.1 published in 2007 delivers a quality, comprehensive framework.

About the Speaker

Robert Meyer has been in the Information Technology field for over two decades, supporting the military at Wright Patterson Air Force Base, healthcare at Mercy Health Partners, and for the last 0 years, supported the Unix platform at The Cincinnati Insurance Companies. He has completed an Associates in Robotics at Sinclair Community College, a Bachelors in Accounting from Park College, and a Masters in Management from Central Michigan University. In addition he is a Doctoral student at the University of Phoenix. In 2012 he completed the CISA certification, is a member of ISACA, and currently serves on the Cincinnati ISACA Chapter board.

Please register by March 1.

Cincinnati ISACA members and non-members can register by using the link at the chapter website or by sending an email to isaca_meetings@yahoo.com if you prefer to pay at the door.

Spring Seminar

Mark your calendars and register for this two-day course on Database Security & Audit. Earn 14 Continuing Education (CPE) credits for this course.

Date & Time: April 11 & 12, 2013, 8:30am-4:30pm

Topic: Database Security & Audit

Speaker: John Tannahill & Associates

Location: The Original Montgomery Inn, Montgomery, OH

Tuition: $400 for members of ISACA ($500 for non-members) until March 27, 2013
$500 for members of ISACA ($600 for non-members) after March 27, 2013

Space is limited to 30 participants

Overview:

The focus of this session will be on the audit, control and security issues related to the use of database management systems in today's business environments. A specific focus of the session will be security and audit of Oracle 11g; Microsoft SQL Server 2008/2012 and DB2/UDB 9.x environments. Learn practical approaches and techniques for evaluating the implementation of database security and control. Live demonstrations using Oracle, SQL Server and DB2/UDB database environments will reinforce the principles presented.

About the instructor:

John Tannahill is an independent Information Security and Audit Services Consultant. His current consulting work areas are focused on information security in large information systems environments and networks, requiring detailed knowledge of the major operating systems encountered. Particular areas of technical security expertise include:

- Windows 2008/2012
- Unix (including Solaris, AIX & Linux)
- Oracle; Microsoft SQL Server & DB2
- Network and Firewall security

John is a frequent speaker in Canada, USA and Europe on the subject of Information Security. He is a member of the Institute of Chartered Accountants of Scotland. He is a Certified Information Security Manager (CISM) and Certified in the Governance of Enterprise IT (CGEIT).

We welcome both members and non-members to the seminar. To register, or find out more details regarding the seminar visit the ISACA Cincinnati website at http://www.isaca-cincinnati.org/Events/Local_Seminars.htm.

Please contact Joe Lairson, VP of Professional Development (Joseph.Lairson@omnicare.com) if you have any questions regarding this or any future seminars.

Cincinnati IIA Chapter CPE Day

Date & Time: Monday, March 11, 2013, 8:00-5:00

Topic: Fraud Strategies & Tactics (8 Hours CPE)

Speaker: Courtenay Thompson, Courtenay Thompson & Associates

Location: GAIC Dixie Terminal, 49 E. 4th St., Cincinnati, OH 45202

Cost: $75 Members, $90 Non-Members (ISACA Members pay the member rate)

- Morning and afternoon snacks as well as lunch will be provided

Overview

Fraud is alive and well! The media is filled with scandals, ranging from the small and simple to the huge and complex. Auditors and management are increasingly called upon to provide practical solutions to difficult problems. This one-day course is designed to help auditors and the organizations they serve more effectively address the challenges of fraud.

Course highlights include:

- The challenges that fraud presents and a model for addressing them
- Fraud implications of the current economy
- Understanding your organization and industry
- Understanding internal controls – what's really happening!
- Overcoming obstacles to effective response and mobilizing management in the fight against fraud
- Defining fraud-related expectations for management
- A five step approach to fraud detection and building fraud detection into routine audit activity Case examples
- Symptoms of fraud occurrence and using data mining to detect fraud
- Uses and abuses of behavioral red flags of fraud
- A planned approach to responding to suspected wrongdoing
- What a complete investigation should include
- Fraud prevention – a four step approach

About the Speaker:

Mr. Courtenay M. Thompson Jr. is a recognized authority on training managers, auditors and investigators in fraud-related matters. He has designed and presented courses on fraud prevention, detection and investigation for business and government organizations worldwide. His courses are known for providing practical, proven approaches to real problems. These practical approaches have yielded dramatic results for class attendees.

Although best known for training auditors in effectively meeting the challenge of fraud, Courtenay also develops and conducts courses on improving audit effectiveness, audit interviewing, behavior and communication skills, and contract auditing. He is the co-developer with R. L. Townsend, of a series of courses on audit and control of construction costs. He is also co-developer with Richard Stohl of a series of courses on audit and control of health benefits administration. For 13 years, Mr. Thompson was editor of "Fraud Findings" in The Internal Auditor magazine.

Mr. Thompson's experience prior to entering the consulting field includes public accounting, audit supervisor for consumer financial services for a large retailer, and director of auditing for a life insurance company. His career in public accounting and internal auditing provided exposure to a number of types of impropriety including embezzlement, insurance fraud, loan fraud, stock fraud, kickbacks and bribery, misappropriation of funds and mail fraud.

Courtenay Thompson received his BBA and MBA degrees from Southern Methodist University and is a Certified Public Accountant. He is a member of the Institute of Internal Auditors, Inc., the American Institute of Certified Public Accountants, and the Texas Society of Certified Public Accountants.

To register:

Go to the IIA website at https://chapters.theiia.org/cincinnati/Events/Pages/March-2013-Meeting.aspx

ISACA 2013 Certification

The next opportunity to sit for an ISACA certification exam is 8 June 2013. Registration is now open for the following exams:

- Certified Information Systems Auditor (CISA)
- Certified Information Systems Manager (CISM)
- Certified in the Governance of Enterprise IT (CGEIT)
- Certified in Risk and Information Systems Control (CRISC)

The June 2013 Exam Registration Fees are as follows:

	Member	Non-Member
Online final registrations (by April 12)	$460	$635
Mailed/Faxed final registrations (by April 12)	$535	$710

To register or find out more details visit this link

How to Earn and Report CPE

Did you know that ISACA certified members can earn more than 70 FREE CPEs per year!

ISACA certified members have several options to obtain their continuing professional education credits. Several of these choices are listed below with specific instructions.

Journal quizzes: Earn one CPE for each of six journals per year. 6 FREE CPEs per year

Serving as an ISACA Volunteer: Participate on an ISACA or ITGI board, committee, task force or as an officer of an ISACA chapter, and gain one CPE credit (up to 20 per year) for each hour of active participation. (Consult Qualifying Educational Activities for CISA, CISM, CGEIT and CRISC members.) 20 FREE CPEs per year

Mentoring: Earn one CPE for each hour of mentoring efforts directly related to coaching, reviewing or assisting an individual with CISA/CISM/CGEIT/CRISC exam preparation or providing career guidance through the credentialing process. 10 FREE CPEs per year

TOTAL Possible FREE CPEs for ISACA Certified Members: 36 FREE CPEs per year

How to Report CPEs in your Profile

CPEs are reported annually during the renewal process. CPEs earned in the current year may be entered in your profile once the next year's renewal period opens. Reporting of CPEs can be done online or by submitting the information on the annual renewal invoice.

To update CPE hours through the ISACA website, log on using your personalized log in credentials and follow the steps below.

Click on the **MY ISACA** tab at the top of the page

Click on the **MY CERTIFICATIONS** tab

Click on the **EDIT MY CPE Hours** link

The CPE reporting is located on the My Demographic, Certification CPE and Other Information tab. Scroll to the bottom of the page to view and edit the appropriate CPE fields. If you do not see a CPE section, CPE hours are not being accepted or you are not required to report CPEs yet.

Enter CPE hours – then click SAVE at the bottom of the page

For more information about the specific Continuing Professional Education (CPE) requirements for your certification, please see the following link.

Company: Ascendum Solutions
Position: IT Auditor (3 months contract)

IT Auditor responsibilities:

- This position will be responsible for managing the IT aspects of our SOX and audit program.
- Responsible for managing, updating, and validating all SOX narratives.
- Primary contact for IT with Internal Audit and External audit for all audits on IT processes. That includes SOX, specific internal audits, and department of insurance audits.
- Responsible for managing and executing SOX controls around attestations and reviews. This includes working with other departments in IT to make sure standards and procedures are being followed and documented correctly.

Qualifications:

- Ability to work with people at various levels of the organization
- Attention to detail
- Working knowledge of SOX, and other standard audit controls
- Good understanding of Information security's role in IT controls
- Financial and Insurance specific audit controls a plus
- IT Audit and Information Security certifications a plus
- Ability to drive work on your own

To find out more or to apply for this position, contact :

Terri Connor, Senior Technical Recruiter
Ph: (513) 619-8805
terri.connor@Ascendum.com

Company: Protiviti
Position: IT Audit Senior Consultant, Cincinnati, OH

Job Description:

The Senior Consultant has primary responsibility for direct supervision of Consultants in executing internal audit project work plans. The Senior Consultant has direct, client-facing engagement responsibilities. Serving as both role model and trainer, the Senior Consultant demonstrates the attributes of excellent client service and assists team members in developing technical and professional competency. The Senior Consultant learns to identify areas of risk and opportunities to improve business processes.

Specific Responsibilities:

- Reviewing, documenting, evaluating and testing controls in a wide range of environments.
- Communicating control strengths and weaknesses to the client or internal audit engagement team and developing effective solutions.
- Ensure timely completion of assigned project phases.
- Develop and maintain effective client relationships.
- Apply understanding of Protiviti policies and proficiency in Protiviti methodologies.
- Apply understanding of business processes and technical skills to successful completion of project assignments.
- Develop understanding of project requirements and client's business.
- Supervise and train project personnel.
- Preparing audit reports on findings and recommendations to senior management.
- Ability to travel

Job Requirements:

General and Technical Skills:

- Prior project management and supervisory skills.
- Interpersonal skills to interact in team environment and foster client relationships.
- Demonstrated understanding of the importance of business ethics.
- Above average written communication skills including documentation of findings and recommendations.
- Strong analytical skills.
- Must be able to handle highly confidential information in a strictly professional manner.
- Must be able to maintain professional demeanor in times of high stress.
- Understanding of current accounting (GAAP) and auditing (GAAS) principals.
- Understanding of internal control concepts (COSO, COBIT).
- Knowledge of auditing processes and methodologies, including flowcharting.
- Knowledge of Sarbanes-Oxley Act provisions and methodologies for achieving compliance.
- Proficient in Microsoft Office suite applications.

Education & Professional Credentials

- Bachelor's degree in relevant discipline (e.g. Accounting, Finance or Business Related Field) required. (Min.GPA 3.0)
- 3+ years in a related field, preferably in professional services and/or industry.
- Professional Certification such as CISA strongly preferred.
- "Big 4" experience in Internal Audit strongly preferred.
- Deep experience auditing financial services companies and banking institutions in particular.
- Audit experience in the Home lending / credit area. Experience in audit or operations of the credit life cycle from originations, servicing to retirement or default.
- IT experience is a plus

To find out more or to apply for this position, contact :

Shelley Piontek, Experienced Hire Recruiting Specialist– Central Region shelley.piontek@protiviti.com

Company: Lexmark
Position: IT Auditor
Location: Lexington, KY

IT Auditor responsibilities:

- Execute assigned IT audits and projects including integrated audits, general IT controls, system access security and application system controls.
- Responsible for all phases of an audit or project (either solely or working with another auditor). These phases will include: planning, identification and assessment of risks inherent in technology operations underlying the business, development of the audit program, testing computer applications and information systems technology of varying complexity, data analysis and sample selections, completion of assigned audit steps, presentation of audit findings and recommendations to management, and preparation of the draft audit report.
- Work independently on assigned audits and projects with minimal management oversight and guidance.
- Communicate, interview, and interact with personnel and management at various levels across the organization and in other geographies.
- Perform audits in a professional manner and in accordance with the Institute of Internal Auditor's standards.

Job Requirements:

- Bachelor's Degree in MIS, business or accounting
- Minimum 2-4 years experience
- Certified Information Systems Auditor, Certified Internal Auditor, or Certified Public Accountant desired
- Ability to work independently, manage concurrent deadlines and multiple priorities
- Knowledge of SAP, Oracle Databases, UNIX, Windows desired
- Information systems and/or IT audit understanding
- Knowledge and experience with Sarbanes-Oxley compliance
- Limited travel

To find out more or to apply for this position, contact :

Justin R. Denton, Internal Audit dentonj@lexmark.com

GOVERNMENT & REGULATORY ADVOCACY (GRA) COORDINATOR

Overview

Chapters have a vested interest in supporting ISACA's advocacy-related activities. The public sector is a critical industry that has impact on ISACA members and their organizations. Advocacy is in support of promoting the credibility and capability of ISACA members, the value of ISACA credentials and the robustness of ISACA knowledge including COBIT – all enhancing awareness and the influence of ISACA. It is for these reasons that each chapter should be designating a board member with the GRA responsibility – as these activities will play a large role in the chapter's visibility and potential certification and membership growth.

The GRA coordinator will serve the chapter as the key contact with the GRA Subcommittee in your region. He/she will also be the person who works with the other board members to understand the touch points of advocacy with local government and regulatory officials. This individual must be especially knowledgeable about ISACA's certifications so he/she can answer questions or refer people to the proper source. Additionally, knowledge of COBIT or the ability to leverage that knowledge from others on the board is key to success

Major Responsibilities:

- Know and understand ISACA's certifications and how they support the credibility, capability and professionalism of ISACA members
- Know and understand ISACA knowledge developments, especially COBIT, and how it supports the credibility, capability and professionalism of ISACA members
- Ability and interest to consider creation a working group (led by the board and chapter president) to assist with assessing local and regional regulatory and government landscape – and how ISACA could assist
- Identify local or regional government and regulatory outreach opportunities for engagement
- Work with other members of the chapter board, where appropriate, advancing the awareness and existence of ISACA and members, its certifications and COBIT
- Works with the chapters newsletter editor and/or webmaster, to initiate articles on importance and/or chapter progress in GRA
- Serves as the chapter contact and liaison to ISACA GRA Subcommittee and ISACA HQ advocacy support.
- Assess and determine the areas of regulation and potential legislation which could have an adverse impact on ISACA's credentials, knowledge (COBIT) or members
- Assess and engage with appropriate government or regulatory officials to potentially speak at a local, regional or global ISACA professional training programs or conferences.
- Ability and interest to give presentations (or identifies others to give presentations) to promote the ISACA credentials, knowledge (COBIT) and its members to government and regulatory audiences
- Share feedback about GRA-related discussions and activities with the ISACA regional GRA Subcommittee.

Reporting Responsibilities:

The GRA coordinator reports to the president, works closely with other members of the chapter board and is responsible to the chapter membership.

If you're interested in this opportunity, contact :

Jesse Hanford, ISACA Cincinnati Chapter President at jhanfordisaca@gmail.com

Inquiries unable to be addressed at the chapter level should be directed to the ISACA HQ at advocacy@isaca.org .

Meet Jesse

Jesse Hanford is the current Cincinnati ISACA President (2012-2014). Jesse has been a member of ISACA since October 2005 and has been on the ISACA Cincinnati Board of Directors for three years, serving previously as the VP of Programs.

Jesse has been a Quality Auditor at Accenture in Downtown Cincinnati for a little over a year. Focusing on SSAE-16 compliance and Internal Self-Assessments, Jesse finds a career in Auditing both rewarding and full of new challenges every day.

What Jesse likes most about ISACA is the diversity of the membership community and the powerful networking that is offered by the chapter. As stated many times, the greatest asset to the Cincinnati Chapter is our membership community, and it shows at every event!

Jesse lives in Cincinnati with his wife Tiffany and four year old son Cole. Jesse is an avid motorcyclist who rides year round, even in winter.

I hope you have enjoyed reading this newsletter. As you can tell from the several job postings in this edition, the word is getting out that firms and recruiters can post their available audit and security-based openings in our newsletter and on our website without charge. Help bring jobs and job seekers together by promoting opportunities at your organization, your fellow ISACA members will appreciate it.

Email me at buky.thorpe@gmail.com and the Webmaster at andrew.selig@53.com with the details of any job opportunities you are aware of. Please note that positions may have been filled or new positions added prior to the newsletter publication, so always see our website for any updates and for complete details.

As always, I would like to hear from any member that is willing to write a brief article for the newsletter that would be of interest to fellow members.

Some examples of articles/content include:

1. A description of a productive or cost-saving audit/security technique that your organization uses
2. Your take and/or opinion on the latest PCAOB standard/update
3. A review of an audit/security tool used by your organization
4. A review of a book that you have read recently that has helped you do your job better
5. Tips and techniques for auditing/securing a particular risk area
6. A summary of an emerging technology being used in your organization and how you are controlling it
7. Live or virtual opportunities to earn CPE credits.

The articles or content can be sent to buky.thorpe@gmail.com.

Finally, you can also reach out to me to let me know what you think of the newsletter; What do you like? What don't you like so much? What other content would you like to see in the newsletter? I would love to hear from you.

Thank you,
Buky Thorpe

Founded in 1973, the Greater Cincinnati ISACA Chapter is a not-for-profit professional organization serving IT Audit, Risk, Security, and Governance professionals in the Greater Cincinnati market. The chapter consists of over 450 professionals that represent a diverse mix of public, private, and not-for-profit business sectors at all levels within those industries. Members of the Greater Cincinnati ISACA Chapter have the opportunity to earn 36 CPE hours annually through various events and seminars. The greatest asset to the Greater Cincinnati ISACA Chapter is its membership community.

Purpose
To promote the education of individuals for the improvement and development of their capabilities relating to IT Audit, Security, Risk, and Governance in the field of Information Technology audit and control.

Please visit the chapter website at www.isaca-cincinnati.org to learn more.
Connect with other chapter members by joining the Greater Cincinnati ISACA LinkedIn group.

Visit www.isaca.org to learn more about the organization.