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**Welcome...**

Greetings fellow ISACA Members!

We had a great meeting in September for our Membership Appreciation Month. In total, we had 33 people newly certified. ISACA Certifications are well-respected in the industry and definitely assist in career development. Congratulations everyone!

We have a great fall session scheduled. This month, Dale McCarty will present on the emerging “Direct-to-Cloud” trend. This trend is defined by users of web-based applications connecting through the Internet directly to cloud based applications, while by-passing traditional corporate security architectures.

I'm also pleased to announce that our Internet Website transition is complete. Please feel free to contact myself with any questions or comments regarding our new site.

As always, thank you for all that you do, and see you in October!

Jesse A. Hanford  
Greater Cincinnati ISACA President

# Monthly Meeting

## Direct-to-Cloud

**Speaker:** Dale McCarty

**Date & Time:** Tuesday, October 1, 2013, 5.30pm

**Location:** The Original Montgomery Inn, Montgomery, OH

**Topic:** Direct-to-Cloud Architecture

### **Overview:**

This presentation focuses on the emerging “Direct-to-Cloud” trend. This trend is defined by users of web-based applications connecting through the Internet directly to cloud based applications, while by-passing traditional corporate security architectures.

The presentation will address the use of GeoIP in “true” cloud solutions vs. simple internet to data center transport and the real-time security requirements needed to support the new model. Also discussed are the implications of a compliance-based security approach vs. a risk-based security approach as applied to a “Direct-to-Cloud” architecture.

### **About the Speaker:**

Dale McCarty was recently named Zscaler’s Regional Manager for the Ohio Valley. Over the past 25 years Dale has been focused in the networking and network security markets. He has held sales engineering, sales, and sales management roles for NCR, Motorola, Cisco, 3Com, Juniper and now Zscaler. His customers have ranged from local governments to multinational corporations. He holds a Bachelors of Science degree in Technology from Bowling Green State University. As an occasional internet entrepreneur, he is also an experienced end-user.

Dale and his wife reside in Bellbrook, Ohio and have a son attending the University of Cincinnati. His outside interests include politics, football, and his annual philanthropy, the McCarty Party Charities.

### **Please register by September 30**

Cincinnati ISACA members and non-members can register by using the [link](#) at the chapter website.

For those who prefer to pay at the door, our new Event Management software allows you to select that option when you register at the link above.

## RACF Users Group

Mark your calendars, the KY, OH, IN RACF Users Group (KOIRUG) is having a free meeting on Tuesday October 8, 2013 at Cincinnati Financial Corporation.

This is the group's 10th Anniversary Meeting (sponsored by RSH Consulting)

**Date & Time.** October 8, 2013 9:00AM - 3:30PM

**Speakers.** Phil Emrich, Vanguard Integrity Professionals  
John Trimble, SallieMae  
Robert S. Hansel, RSH Consulting, Inc.

**Location.** Cincinnati Financial Corporation, 6200 S. Gilmore Rd., Fairfield, Ohio 45014

**Cost.** Free

**Registration.** Contact Todd Grant  
Phone: 317-594-1078  
Email: [todd.grant@salliemae.com](mailto:todd.grant@salliemae.com)

*Advanced Registration is requested to ensure sufficient refreshments and handouts are available.*

### Agenda.

- DB2 Security for RACF Administrators- Phil Emrich, Vanguard Integrity Professionals
- Converting DB2 Object Security to RACF - A User Experience- John Trimble, SallieMae
- RACFVARS- Robert S. Hansel, RSH Consulting, Inc.
- TSO & RACF- Robert S. Hansel, RSH Consulting, Inc.
- Sponsor Presentation- Robert S. Hansel, RSH Consulting, Inc.

Visit our website for more details: <http://www.rshconsulting.com/rugs/KOIRUG.htm>

KOIRUG was created in 2003 to serve the professional education needs of the RACF community in Kentucky, Ohio, and Indiana. Full-day meetings are held twice a year. KOIRUG usually meets in the Cincinnati area.

## ISACA Certification

The next opportunity to sit for an ISACA certification exam is 14 December 2013. Registration is now open for the following exams:

- Certified Information Systems Auditor (CISA)
- Certified Information Systems Manager (CISM)
- Certified in the Governance of Enterprise IT (CGEIT)
- Certified in Risk and Information Systems Control (CRISC)

The December 2013 Exam Registration Fees are as follows:

	Member	Non-Member
Online final registrations (by October 25)	\$460	\$635
Mailed/Faxed final registrations (by October 25)	\$535	\$710

To register or find out more details visit this [link](#)

## How to Earn and Report CPE

Did you know that ISACA certified members can earn more than 30 FREE CPEs per year!

ISACA offers opportunities to earn CPE through participation in a variety of programs and events. Several of these choices are listed below with specific instructions.

**Journal quizzes.** Earn one CPE for each of six [journals](#) per year. 6 FREE CPEs per year

**Serving as an ISACA Volunteer.** Participate on an ISACA or ITGI board, committee, task force or as an officer of an ISACA chapter, and gain one CPE credit (up to 20 per year) for each hour of active participation. (Consult Qualifying Educational Activities for CISA, CISM, CGEIT and CRISC members.) 20 FREE CPEs per year

**Mentoring.** Earn one CPE for each hour of mentoring efforts directly related to coaching, reviewing or assisting an individual with CISA/CISM/CGEIT/CRISC exam preparation or providing career guidance through the credentialing process. 10 FREE CPEs per year

**TOTAL Possible FREE CPEs for ISACA Certified Members.** 36 FREE CPEs per year

### How to Report CPEs in your Profile

CPEs are reported annually during the renewal process. CPEs earned in the current year may be entered in your profile once the next year's renewal period opens. Reporting of CPEs can be done online or by submitting the information on the annual renewal invoice.

To update CPE hours through the ISACA website, log on using your personalized log in credentials and follow the steps below.

Click on the **MY ISACA** tab at the top of the page

Click on the **MY CERTIFICATIONS** tab

Click on the **EDIT MY CPE Hours** link

The CPE reporting is located on the My Demographic, Certification CPE and Other Information tab. Scroll to the bottom of the page to view and edit the appropriate CPE fields. If you do not see a CPE section, CPE hours are not being accepted or you are not required to report CPEs yet.

Enter CPE hours – then click SAVE at the bottom of the page

For more information about the specific Continuing Professional Education (CPE) requirements for your certification, please see the following [link](#).

# Job Opportunities

**Company.** Great American Insurance Company

**Position.** Associate IT Auditor / IT Auditor

**Location.** Cincinnati

## **Job Description.**

AFG is seeking an Associate IT Auditor / IT Auditor for the Corporate Internal Audit Department located in our downtown Cincinnati office. If you are looking for a career path that can include expanding your career in Internal Audit, then this position is for you!

- Assists with annual SOX testing of IT General Controls.
- Assists in conducting audits of application, operating systems, platforms, and operating procedures in accordance with the audit process/steps prescribed by management.
- Verifies effectiveness of controls at the network, operating system, database and application level.
- Documents business and IT systems processes / data flows.
- Assists in the documenting of business and systems processes / data flows.
- Collects data and documents concern / risk areas. Assists with developing solutions to concerns / risks.
- Identifies possible operating improvements and discusses with Internal Audit Department.
- Collaborates and develops working relationship with external auditors and the IT Department.
- May recommend improvements in current risk management controls and implementation of system changes or upgrades.

## **Job Requirements.**

- Bachelor Degree in Information Technology, Information Systems, Accounting, or related discipline.
- 0-5 years auditing, information technology, and/or accounting experience.
- Progress towards the completion of a Professional Designation (for example: CPA, CIA, CFE, CISA, etc.) preferred.
- Experience in preparing and presenting to department leadership preferred.
- Experience as an IT Auditor is a plus!

**To find out more or to apply for this position, contact .**

Maria Hill – [mehill@gaic.com](mailto:mehill@gaic.com) – (513) 412 1545

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# Job Opportunities

**Company:** UC Health

**Position:** IT Auditor

**Location:** Cincinnati, OH (Clifton, Uptown)

## **About the Company :**

UC Health is an integrated health care delivery system that includes: University of Cincinnati Medical Center, West Chester Hospital, The Drake Center, and UC Physicians. These facilities offer a range of medical and surgical services.

## **Job Description.**

UC Health is seeking an IT Auditor for the Corporate Internal Audit Department. The role of the IT Auditor is to evaluate the presence and appropriateness of controls in varying information technology related systems, applications and functions. This position reports to the Director of Internal Audit.

## **Specific Responsibilities.**

- Performs audits of information technology (IT) systems to determine if appropriate internal controls and compliance practices have been implemented in the IT environment and if such controls are operating effectively.
- Perform detailed audits of financial and operational data, systems, controls and procedures in accordance with the audit program steps, documenting all deficiencies in internal controls and/or operating efficiencies in clear, concise and logical working papers.
- Present audit findings and assist in the development of constructive recommendations in well-written and concise audit reports.
- Review and evaluate management's responses to recommendations, and provide follow-up to determine whether corrective action has taken place in the targeted time frame.
- Develops and maintains ongoing relationships with auditees/management to ensure thorough communication of Audit-related activities.

## **Job Requirements.**

- Bachelor Degree in Information Systems, Finance, Accounting, or related field.
- 3 - 5 years auditing, information technology and / or accounting experience.
- Certified Internal Auditor (CIA) or Certified Information Systems Auditor (CISA) strongly preferred, but not mandatory if pertinent experience and strong IS audit skills are in evidence. Incumbent will be strongly encouraged to pursue CISA certification if not held at time of hire.

**To find out more or to apply for this position, contact :**

Matt Schmidt, Internal Audit Director

[Matthew.Schmidt@UCHealth.com](mailto:Matthew.Schmidt@UCHealth.com)

Phone: 513.585.7492

Fax: 513.585.5058

Click <http://www.uchealth.com/careers-hr/> to apply.

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# Job Opportunities

**Company:** Baldwin Gilman, LLC

**Position:** IT Audit Manager, Cincinnati, OH

**Location:** Cincinnati, OH

## **About the Company .**

Baldwin Gilman maintains a permanent on-site presence in more than 20 tax-exempt healthcare networks across the nation, operating in more than 350 hospitals and healthcare facilities. Their commitment to their culture and core values is exceptional..

## **Job Description.**

The IT Audit Manager will work independently (in collaboration with the client team and hospital team) and be the sole IT Audit practitioner on-site at a Cincinnati-based client, but will be working with other operational audit team members as well. He/She will execute the audit fieldwork and effectively communicate the technical and operational results to client leadership. The role will assess business and compliance risks and key controls associated with information systems and technology. The IT Audit Manager will conduct IT audits, as well as integrated reviews with the operational and compliance audit team members, as part of the annual audit plan. The role is an excellent opportunity for a Big 4 or comparably experienced candidate to achieve an improved lifestyle balance while being challenged in the dynamic healthcare industry

## **Specific Responsibilities.**

- BS Degree
- Certifications (CISA or CIA and/or CISSP, to name a few)
- Proven ability to effectively communicate with both technical management and executive staff – executive presence is a must
- EPIC or other ERP experience (SAP, Oracle)
- Healthcare experience preferred, but not required

**To find out more or to apply for this position, contact .**

Brent Loebig

Baldwin Gilman LLC

[bwloebig@baldwingilman.com](mailto:bwloebig@baldwingilman.com)

513.842.5335



## Meet Buky

Buky Thorpe is currently serving as the Greater Cincinnati ISACA VP of Communications. She has been a member of ISACA since 2008 and on the chapter board of directors for about three years previously serving as a Professional Development AVP

Buky works for Deloitte & Touché, LLP in the Enterprise Risk Services group performing IT Audits for clients in the Consumer products and Financial Services industries.

What Buky enjoys the most about serving on the Chapter board is getting to meet and work with people from various industries within and outside of the Greater Cincinnati area.

Buky enjoys travelling, photography and is an avid reader.



I hope you have enjoyed reading this newsletter. Please continue to send me your job postings and help bring jobs and job seekers together by promoting opportunities at your organization; your fellow ISACA members will appreciate it.

Email me at [buky.thorpe@gmail.com](mailto:buky.thorpe@gmail.com) and the Webmaster at [andrew.selig@53.com](mailto:andrew.selig@53.com) with the details of any job opportunities you are aware of. Please note that positions may have been filled or new positions added prior to the newsletter publication, so always see our website for any updates and for complete details.

As always, I would like to hear from any member that is willing to write a brief article for the newsletter that would be of interest to fellow members.

Some examples of articles/content include:

1. A description of a productive or cost-saving audit/security technique that your organization uses
2. Your take and/or opinion on the latest PCAOB standard/update
3. A review of an audit/security tool used by your organization
4. A review of a book that you have read recently that has helped you do your job better
5. Tips and techniques for auditing/securing a particular risk area
6. A summary of an emerging technology being used in your organization and how you are controlling it
7. Live or virtual opportunities to earn CPE credits.

The articles or content can be sent to [buky.thorpe@gmail.com](mailto:buky.thorpe@gmail.com).

Finally, you can also reach out to me to let me know what you think of the newsletter; What do you like? What don't you like so much? What other content would you like to see in the newsletter? I would love to hear from you.

Thank you,  
Buky Thorpe

# About Our Chapter

Founded in 1973, the Greater Cincinnati ISACA Chapter is a not-for-profit professional organization serving IT Audit, Risk, Security, and Governance professionals in the Greater Cincinnati market. The chapter consists of over 450 professionals that represent a diverse mix of public, private, and not-for-profit business sectors at all levels within those industries. Members of the Greater Cincinnati ISACA Chapter have the opportunity to earn 36 CPE hours annually through various events and seminars. The greatest asset to the Greater Cincinnati ISACA Chapter is its membership community.

## **Purpose**

To promote the education of individuals for the improvement and development of their capabilities relating to IT Audit, Security, Risk, and Governance in the field of Information Technology audit and control.

Please visit the chapter website at [www.isaca-cincinnati.org](http://www.isaca-cincinnati.org) to learn more.

Connect with other chapter members by joining the Greater Cincinnati ISACA LinkedIn group.

Visit [www.isaca.org](http://www.isaca.org) to learn more about the organization.