



Welcome...

October , 2015

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Greetings Fellow Greater Cincinnati ISACA Members,

Ron Hale provided a great overview of ISACA’s work to provide career paths in our profession. The September meeting was also our member appreciation meeting. All members who attended the meeting received a battery charger with the ISACA logo as a gift. Mine has found its way into my golf bag so that my golf GPS is always charged.

John Maynor, PWC, will be our speaker for the October meeting. Please see page 2 for additional information and to register for the meeting.

The first week of October is a very busy week for CPE opportunities. SecureWorld will be holding a Cybersecurity Conference at the Sharonville Convention Center on October 6. Click [here](#) for additional details and to register for the conference. On Monday October 5, Kim Jones, CSO Vantiv, will present Zen and the Art of Transformational Security. Attendance at both of these events will allow you to earn 12 CPEs. NKU is also hosting a Cybersecurity Symposium at the NKU METS center October 9. You can view the agenda and register [here](#). Attendance at this event will allow you to earn 6 CPEs.

Our next seminar will be held November 2nd & 3rd at Great American Ballpark! We are proud to present a two day seminar on Successful Audit Data Analytics. See page 3 for details.

Next year’s Greater Cincinnati ISACA and Cincinnati IIA golf outing will held on July 22, 2016 at Flagg Springs Golf Course. The two chapters are looking to find a person to host and coordinate the outing beginning in 2017. If you are interested, please contact me.

I encourage you to join our LinkedIn group if you are not a member. I post training opportunities in the group that I learn about from other ISACA chapters. This will give you additional options for earning CPEs. We also have a Facebook page.

If you know anyone in the audit or security profession who might benefit from ISACA membership, please invite them to one of our meetings or put them in touch with our board so they can find out more!

Do you have any coworkers that are not receiving this newsletter and would like to? If so, have them send an e-mail to our Newsletter Editor, Buky Thorpe (buky.thorpe@gmail.com) and request to be included on the e-mail distribution list. If you are interested in becoming a member of the chapter, please contact our VP of Membership, Mike Smith (mrsmith@gaic.com). As a reminder, our website is the best place to learn about upcoming events and available job opportunities in the Greater Cincinnati ISACA area! Check it out at: www.isaca-cincinnati.org! As always, I am happy to hear any comments you have, so feel free to e-mail me at rkrickisaca@gmail.com.

Russell Krick, CISA,
Greater Cincinnati ISACA President

Monthly Meeting

Risk Management - IT Vendor Management and Outsourcing

Speaker: John Maynor, Director at PricewaterhouseCoopers LLP

Date & Time: Tuesday, October 6th, 2015, 5.30pm

Location: The Original Montgomery Inn, Montgomery, OH

Members and non-members should register by using this [link](#).

For those who prefer to pay at the door, please select that option when you register at the link above.

2015 Fall Seminar

The Greater Cincinnati ISACA Chapter is proud to present a two-day seminar on **Successful Audit Data Analytics**. Earn 16 Continuing Education (CPE) credits for this seminar.

Summary. In this two-day seminar attendees will learn everything needed about effectively integrating data analytics, or CAATs into an audit process. You will learn how technology can be used to more efficiently and effectively achieve desired results and brainstorm analytics across most major business cycles. You will learn how to progress from basic analytics into a fully automated/repetitive mode, and learn the basics of Continuous Auditing. We will review common hurdles and hear how the most successful organizations in the world have been able to exploit the power of data analysis to achieve visible and sustainable value.

Whether you are in audit management, directing a team where you may never personally use the technology, or the person who will ultimately be performing data analysis techniques, this seminar provides critical experience. Participants in audit management will learn how to design effective strategies and programs to ensure sustainable results. As the course progresses, you will move quickly from understanding basic analytic techniques such as stratifications, summarizations, and duplicate identification into more advanced techniques such as fuzzy matching, Benford's Law, and statistical and regression analysis. Attendees will explore cutting-edge topics such as visual analytics, risk score carding, and spatial analysis. The course will be demo-only, so laptops will not be necessary.

Date & Time. Monday, November 2nd and Tuesday, November 3rd, 2015 from 8:30am - 4:30pm

About the Instructor. The instructor, Jim Tarantino, CISA, CRISC, ACDA, is the Client Solutions Director for High Water Advisors, a consulting firm specialized in helping organizations improve governance, risk management, compliance (GRC) and audit processes. He has over 15 years of information technology, analytics, audit and GRC experience with a recognized expertise in developing solutions to enable data-driven auditing, risk assessment and investigations. Prior to joining High Water Advisors, Mr. Tarantino was a Solution Lead/Practice Manager for ACL Services, where he led the implementation of data analytic solutions for large public sector clients. He has also held a number of GRC practitioner roles including Senior Auditor at RTI International and various management positions at Nortel Networks implementing a human capital analytics program. As a member of the IIA, ISACA and ACFE, Mr. Tarantino participates in local chapter activities, including serving as an instructor for CISA certification exam preparation seminars.

Location. Great American Ballpark, 100 Joe Nuxhall Way, Cincinnati, OH 45202
Parking Available at the Stadium; Reimbursement available from ISACA Cincinnati Chapter with paid receipt
Continental Breakfast and lunch will be provided on both days.

Tuition \$500 for members of ISACA (\$600 for non-members) until October 5, 2015
\$600 for members of ISACA (\$700 for non-members) after October 5, 2015
Please register early! To ensure an interactive and comprehensive course, space has been limited to 45 participants.

Registration. Please click on this [link](#) to register or find out more details about the seminar.

Cancellations.

Attendees will not be registered or have a guaranteed spot until payment is received. A cancellation fee of \$100 will be applied to the refund if notification is less than 15 days prior to the course to pay for non-refundable materials and venue costs. If you are unable to attend, an individual may attend in your place with communication of the substitution.

For enrollment, questions, or cancellations, email Joe Lairson (joseph.lairson@hill-rom.com) or Holly Johnson (hajohnson@gaig.com).

ISACA 2015 Certification

ISACA certification registration is currently open for the following exams:

September exam for CISA and CISM.

December exam for CISA, CISM, CGEIT and CRISC.

| | Member | Non-Member |
|--|--------|------------|
| Online* final registration deadline fee | \$490 | \$675 |
| Mailed/faxed final registration deadline fee | \$565 | \$750 |

Important Dates:

Exam date: December 12, 2015

Final registration deadline for exam: October 23, 2015

To register or find out more details visit this [link](#)

*Online fees reflect a savings of US \$75 off the registration rate for mailed or faxed registrations!

The online registration process will enable you to register for an exam, and purchase study aids and an ISACA membership, which will immediately provide significant exam-related discounts. The final step of the process will enable you to pay online using a credit card, or indicate that payment will follow by check or wire.

Did you know that ISACA offers review courses for the certification exams? Go to this [page](#) to locate review courses or access the CISA online review course.

Job Opportunities

Company: KPMG, LLP

Position: IT Audit Advisory Associate or Senior Associate

Location: Cincinnati, OH

About the Company :

Do you have a passion for solving complex business problems? KPMG's Advisory Services Practice focuses on fundamental business issues — managing risk, increasing revenues, controlling costs that organizations, across various industries, should address in order to help them flourish. We help companies to identify and manage risks inherent in business processes and technology systems that support business objectives, and provide them with the information needed to help them meet their strategic and financial goals. Services are specialized to help clients mitigate risks across an overall risk spectrum. We are currently seeking an IT Audit Advisory Associate/Senior Associate to join us in our Cincinnati Ohio office.

Job Description.

As an IT Attestation professional, you will work with clients whose business processes and use of technology have external stakeholders. Our team delivers independent third party assessments that can provide comfort to clients and their business partners through seals and distributable reports such as reports such as SysTrust and SSAE16.

Specific Responsibilities.

- Plan and execute the day-to-day activities of IT audit engagements for a variety of clients including system development, package implementation and/or platform reviews
- Evaluate the design and effectiveness of technology controls throughout the business cycle
- Identify and communicate IT audit findings to senior management and clients
- Help identify performance improvement opportunities for assigned clients

Additional Responsibilities for Senior Associate.

- Supervise Associates and Interns on engagements
- Supervise and provide performance management for IT audit staff working on assigned engagements
- Serve as a liaison between clients and upper management

Job Requirements.

- Qualifications for both positions.
One year of experience in any of the following areas: internal or external IT audit, risk assessment, business process reengineering, Enterprise Resource Planning 'ERP' packages such as SAP Oracle Financials, Hyperion and Cognos, Customer Relationship Management (CRM) packages such as Siebel, IT security, project management, IT outsourcing or off shoring, and/or IT strategy
- Bachelor's degree in an appropriate field from an accredited college/university
- Exceptional interpersonal skills with ability to gain the confidence and respect of senior level executives
- Willingness and ability to travel

Additional Qualifications for Senior Associate.

- Three years of advisory services experience in any of the following areas: internal or external IT audit, risk assessment, business process reengineering, ERP packages such as SAP, Oracle Financials, Hyperion and Cognos, CRM packages such as Siebel, IT security, project management, IT outsourcing or off shoring, and/or IT strategy
- Project or team lead experience
- Strong leadership and communication skills, technical knowledge, and the ability to write at a publication quality level in order to communicate findings and recommendations to the client's senior management team

To find out more or to apply for this position, contact :

Brett Ballinger, Director, Risk Consulting

bballinger@kpmg.com

Job Opportunities

Company: Fifth Third Bank

Position: Senior IT Risk Analyst (two openings)

Location: Cincinnati, OH

About the Company :

Fifth Third Bancorp is a diversified financial services company headquartered in Cincinnati, Ohio. As of December 31, 2014, the Company had \$139 billion in assets and operated 15 affiliates with 1,302 full-service Banking Centers, including 101 Bank Mart® locations, most open seven days a week, inside select grocery stores and 2,638 ATMs in Ohio, Kentucky, Indiana, Michigan, Illinois, Florida, Tennessee, West Virginia, Pennsylvania, Missouri, Georgia and North Carolina. Fifth Third operates four main businesses: Commercial Banking, Branch Banking, Consumer Lending, and Investment Advisors. Fifth Third also has a 22.8% interest in Vantiv Holding, LLC. Fifth Third is among the largest money managers in the Midwest and, as of December 31, 2014, had \$308 billion in assets under care, of which it managed \$27 billion for individuals, corporations and not-for-profit organizations. Investor information and press releases can be viewed at www.53.com. Fifth Third's common stock is traded on the NASDAQ® Global Select Market under the symbol "FITB."

Job Description.

This position is responsible for implementing information technology risk management strategies identified by the IT Risk Manager. In this role, the Senior IT Risk Analyst will be assigned overall responsibility for key areas and will have accountability for proper planning, prioritization and execution of supporting IT risk responsibilities. This position is responsible for hands-on execution of control/risk assessments and the development of control enhancement recommendations.

Specific Responsibilities.

- Support the IT Risk Manager in the execution of responsibilities to conduct risk assessments, implement self-assessment programs, perform technical research on risk topics, and other activities that support risk management goals for the IT Division. Some of the primary responsibilities include:
- Support the IT Risk Manager on the implementation of information technology risk management strategy and operating priorities.
- Support the integration of the IT Risk Management practices into key Information Technology and business areas.
- Build effective relationships with key individuals who own and support processes you are responsible for evaluating, including the appropriate line-of-business risk managers.
- Perform ongoing planning and prioritization of key projects and activities to ensure that resources are applied to the most critical areas. Communicate with the IT Risk Manager, as needed, to ensure proper prioritization and management of workload.
- Participate on projects and ensure that key IT risks are being adequately addressed. Coordinate with project managers to ensure that issues are identified, action plans are in place and that PLC requirements are being met.
- Perform risk assessments on key IT processes or assets, identify vulnerabilities and propose solutions to mitigate risk. Perform due diligence and risk assessments on IT service providers.
- Work with IT areas in developing an effective self-assessment process for proactively identifying risks associated with processes, applications and technical infrastructure components.
- Support compliance with applicable regulations, which include, but is not limited to the following: the FDIC Improvement Act, the Sarbanes-Oxley Act of 2002 and the Gramm-Leach-Bliley Act of 1999.
- Support the resolution of Internal Audit, regulatory, or Risk Management related issues that could impact the confidentiality, availability or integrity of data or processes.
- Create effective risk assessment documentation supporting work performed, including formal communication on risk assessment results. Be able to deliver effective presentations to management on summary of work performed and findings.

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Job Requirements.

Two to four years of information technology experience required. Desired experience should include a foundation in IT security and controls. While experience in a number of IT disciplines may provide a solid framework for this position, hands-on results from performing IT risk assessments, information security consulting or IT audits are most beneficial. At least one relevant technical or professional certification, such as CISA or CISSP, is required.

Bachelor's degree required, preferably in computer science or information systems. Must possess excellent written and verbal communication skills, with a proven track record of interacting effectively with end-users and technology professionals. Able to work on multiple projects concurrently, manage time effectively and require minimal supervision in the execution of IT Risk Analyst responsibilities. Must possess strong analytical capabilities and have a desire to learn new things. Less than 10% travel required.

To apply for this position, visit <https://www.53.com/careers/index.html>

Requisition #: 146609

To find out more, contact .

Justin Hedric, VP – Senior IT Risk Manager

Justin.hedric@53.com

513.534.8648

Risk Scenarios Using COBIT 5 for Risk

Risk Scenarios Using COBIT 5 for RISK is now available!

Scenario analysis has become an important component of enterprise risk management. Risk scenarios are recognized as powerful tools that help risk professionals prepare for the unexpected.

Risk Scenarios Using COBIT 5 for Risk provides:

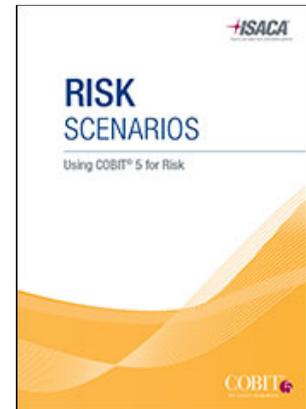
- Detailed guidance on the development of IT-related risk scenarios
- Guidance on how to use COBIT 5 for Risk to solve for current business issues
- An overview of risk concepts and how the COBIT 5 enablers can help in risk management activities

The accompanying toolkit contains interactive risk scenario templates.

ISACA Members and Non-Members can purchase a hard copy or download the eBook at the following prices:

Members- Download your free PDFs [here](#)

Non-members—[Join ISACA today](#) to get your Free PDF, or [purchase the pdfs](#) for US \$60.00 each.



To download or purchase a copy of Cobit 5, members and non members can go [here](#).

How to Earn and Report CPE

Did you know that ISACA certified members can earn up to 72 FREE CPEs per year!

ISACA offers opportunities to earn CPE through participation in a variety of programs and events. Several of these choices are listed below with specific instructions.

Webinars and Virtual Conferences. Up to 36 free CPEs per year. CPE quizzes are for members only.

Journal quizzes. Earn one CPE for each of six [journals](#) per year. 6 FREE CPEs per year

Serving as an ISACA Volunteer. Participate on an ISACA or ITGI board, committee, task force or as an officer of an ISACA chapter, and gain one CPE credit (up to 20 per year) for each hour of active participation. (Consult Qualifying Educational Activities for CISA, CISM, CGEIT and CRISC members.) 20 FREE CPEs per year

Mentoring. Earn one CPE for each hour of mentoring efforts directly related to coaching, reviewing or assisting an individual with CISA/CISM/CGEIT/CRISC exam preparation or providing career guidance through the credentialing process. 10 FREE CPEs per year

TOTAL Possible FREE CPEs for ISACA Certified Members. 72 FREE CPEs per year

How to Report CPEs in your Profile

CPEs are reported annually during the renewal process. CPEs earned in the current year may be entered in your profile once the next year's renewal period opens. Reporting of CPEs can be done online or by submitting the information on the annual renewal invoice.

To update CPE hours through the ISACA website, log on using your personalized log in credentials and follow the steps below.

Click on the **MY ISACA** tab at the top of the page

Click on the **MY CERTIFICATIONS** tab

Click on the **EDIT MY CPE Hours** link

The CPE reporting is located on the My Demographic, Certification CPE and Other Information tab. Scroll to the bottom of the page to view and edit the appropriate CPE fields. If you do not see a CPE section, CPE hours are not being accepted or you are not required to report CPEs yet.

Enter CPE hours – then click SAVE at the bottom of the page

For more information about the specific Continuing Professional Education (CPE) requirements for your certification, please see the following [link](#).

Our newsletter has undergone a little bit of a makeover but my goal is still to bring you information that is interesting and of use to you. So, as always, I welcome your feedback and input. In today's hectic and challenging business environment, where we are faced with so many different sources of information, competing for our attention, it is increasingly challenging to create a relevant newsletter that members have the inclination to read.

With over four hundred members in a broad range of industries, we have a vast source of experience and knowledge to harness within our chapter. If you have a brief article that may be of interest to your fellow members, I would like to hear from you.

Some examples of articles/content include:

1. A description of a productive or cost-saving audit/security technique that your organization uses
2. Your take and/or opinion on the latest PCAOB standard/update
3. A review of an audit/security tool used by your organization
4. A review of a book that you have read recently that has helped you do your job better
5. Tips and techniques for auditing/securing a particular risk area
6. A summary of an emerging technology being used in your organization and how you are controlling it
7. Live or virtual opportunities to earn CPE credits.

These are just a few ideas and not meant to be all inclusive. If you have any ideas for other content you'd like to see, let me know. The articles or content can be sent to buky.thorpe@gmail.com.

Finally, please reach out to me to let me know what you think of the newsletter; What do you like? What don't you like so much? I would love to hear from you.

Thank you,
Buky Thorpe, CISA
VP of Communications

About Our Chapter

Founded in 1973, the Greater Cincinnati ISACA Chapter is a not-for-profit professional organization serving IT Audit, Risk, Security, and Governance professionals in the Greater Cincinnati market. The chapter consists of over 450 professionals that represent a diverse mix of public, private, and not-for-profit business sectors at all levels within those industries. Members of the Greater Cincinnati ISACA Chapter have the opportunity to earn 36 CPE hours annually through various events and seminars. The greatest asset to the Greater Cincinnati ISACA Chapter is its membership community.

Purpose

To promote the education of individuals for the improvement and development of their capabilities relating to IT Audit, Security, Risk, and Governance in the field of Information Technology audit and control.

Please visit the chapter website at www.isaca-cincinnati.org to learn more.
Connect with other chapter members by joining the Greater Cincinnati ISACA LinkedIn group.

Visit www.isaca.org to learn more about the organization.