

Welcome...

Greetings Fellow Greater Cincinnati ISACA Members,

During our break we organized our new team of Board members, set some new objectives for our chapter, and, unfortunately, had to transition some responsibilities, including the position of Chapter President. Our elected president, Adam Leigh accepted a new position out of the area; therefore with the need for a new president, the nominating committee met and determined that I was the logical choice to succeed Adam at this time.

At our planning meeting we discussed what we'd like the Greater Cincinnati chapter to strive for in the next two years. We talked about many topics including how we can serve our membership better by making more resources available, create more events for you to get involved in, work with new partners to expand the scope of our meetings, and leverage social media to put the message out that ISACA is an association that works for its members. As the new president, I plan on working towards these goals. If you know anyone in the audit or security profession who might benefit from ISACA membership, please invite them to one of our meetings or put them in touch with our VP of Membership, Mike Smith (mrsmith@gaic.com).

It was great to see the large attendance at our annual member appreciation meeting in September. Chris Dorr provided great information on data breaches and what can be done by companies to reduce the likelihood of a data breach. Our October meeting is scheduled for October 7th and the topic will be the NIST Cybersecurity Framework. This should be an interesting topic and tie in with ISACA's Cybersecurity Nexus (CSX) professional program. I look forward to seeing everybody at the October meeting. See [page 2](#) for more details.

Our education committee has been busy and has organized our fall seminar. While the price has increased some from earlier seminars, this will be worth the small increase. Tanya Baccam will be presenting training on Active Directory and Windows security auditing. We have had Tanya provide training for our chapter in the past and it was a great experience. Please see [page 3](#) for more details about this training opportunity.

As a reminder, our website is the best place to learn about upcoming events and available job opportunities in the Greater Cincinnati ISACA area! Check it out at: www.isaca-cincinnati.org.

Russell Krick, Greater Cincinnati ISACA President

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Monthly Meeting

NIST Cybersecurity Framework

Speaker: Sarah Ackerman, Director, Clark Schaefer Consulting

Date & Time: Tuesday, October 7th, 2014, 5.30pm

Location: The Original Montgomery Inn, Montgomery, OH

About the Speaker

As the Technology service line Director at Clark Schaefer Consulting, Sarah Ackerman provides the Firm with extensive experience and knowledge regarding information security, IT audit, and other technology and control related services. Sarah oversees the progress and completion of all IT-related projects, responsible for the overall engagement quality and performance of the services provided to individual clients. As a CISSP and CISA, Sarah has extensive experience in delivering security services such as vulnerability assessments, penetration testing, and web application security reviews. She has consulted with numerous organizations to enhance their information systems security and privacy controls within a wide variety of industries, applying a disciplined approach in accordance with “best practice” standards. Sarah has successfully served in a variety of roles including consulting, risk management, and internal audit, and is an active member of ISACA, ISSA, (ISC)2, and IIA.

Cincinnati ISACA members and non-members can register by using this [link](#).

For those who prefer to pay at the door, please select that option when you register at the link above.

2014 Fall Seminar

Active Directory & Windows Security Auditing

The Greater Cincinnati ISACA Chapter is proud to present a two-day seminar by Tanya Baccam, CPA, CISSP, CISA, GCIH, GCFW, CISM, Oracle Certified DBA of Baccam Consulting. Earn 14 Continuing Education (CPE) credits for this seminar.

Summary. The seminar will focus on identifying the risks that are specific to an Active Directory and Windows environment. The Windows operating system is typically well known and utilized, but can be difficult to secure since there are a large number of security options available. This course provides the tools and techniques to effectively conduct an Active Directory and Windows audit. Hands-on exercises give students the opportunity to conduct an audit on their own Windows system, as well as understand the different security options that Windows provides.

Date & Time. Thursday, November 6th and Friday, November 7th, 2013 from 8:30am - 4:30pm

About the Instructor . The instructor, Tanya Baccam, CPA, CISSP, CISA, GCIH, GCFW, CISM, Oracle Certified DBA, has extensive experience performing audits and assessments, including application reviews, system audits, vulnerability and penetration tests, as well as providing training around application and software development risks. She is skilled in reviewing the security architecture for clients including assessing firewalls, applications, web sites, network infrastructure, operating systems, routers, and databases. She has conducted multiple network penetration engagements, vulnerability assessments and risk assessments using an arsenal of tools including commercially available and open source tools. She has developed and reviewed policies and procedures, as well as developing and provided security awareness training. Tanya has been responsible for conducting, scheduling and managing numerous security assessment engagements. Additionally, she has provided advice and guidance to multiple companies on how to build successful auditing practices. During her career in IT, Tanya has become an expert in network and application security services. She has functioned in management, training and consulting roles. She has vast experience including support of Novell, UNIX, Windows, and Oracle platforms. Tanya is a Senior Certified Instructor and courseware author for SANS (SysAdmin, Audit, Network, Security) where she has developed and delivered training in security auditing, incident handling, hacker exploits, database security and perimeter protection, as well as being an authorized grader for some of the GIAC certifications. She is also as a member of ISACA.

Location. *Original Montgomery Inn. 9440 Montgomery Road, Montgomery, OH 45242*
Free Parking is available behind the restaurant and across the street.
Continental Breakfast and lunch will be provided on both days. Montgomery Inn is noted for its food!

Tuition \$500 for members of ISACA (\$600 for non-members) until October 24, 2014
\$600 for members of ISACA (\$700 for non-members) after October 24, 2014
Please register early! To ensure an interactive and comprehensive course, space has been limited to 40 participants.

Registration. Please click on this [link](#) to register or find out more details about the seminar.

Cancellations.

Attendees will not be registered or have a guaranteed spot until payment is received. A cancellation fee of \$100 will be applied to the refund if notification is less than 15 days prior to the course to pay for non-refundable materials and venue costs. If you are unable to attend, an individual may attend in your place with communication of the substitution.

For enrollment, questions, or cancellations, email Lei Zhao (lei.zhao@bankatfirst.com).

ISACA 2014 Certification

The next opportunity to sit for an ISACA certification exam is December 2014. Registration is now open for the following exams:

- Certified Information Systems Auditor (CISA)
- Certified Information Systems Manager (CISM)
- Certified in the Governance of Enterprise IT (CGEIT)
- Certified in Risk and Information Systems Control (CRISC)

Registration deadline is **October 24, 2014** and the fees are as follows.

	Member	Non-Member
Online registrations	\$470	\$650
Mailed/Faxed registrations	\$545	\$725

To register or find out more details visit this [link](#)

Upcoming Webinars

Increase your knowledge of important and relevant topics in just 60 minutes.

ISACA webinars feature today's most challenging IT and IS issues—and ISACA Members the opportunity to earn free CPE hours.

Topic: Internal Controls for Indian Financial Reporting using COBIT 5–Based Guidance

Speaker: Anand Prakash Jangid, CISA, Managing Partner at Quadrisk Advisors

Sunder Krishnan, Qualified BCOM, FCA, CISA, and CCSA, Executive Vice President and Chief Risk Officer, Reliance Life Insurance Company Ltd

Date: Wednesday , 1 October 2014

Time: 3:30PM (IST) / 5:00AM (CDT) / 6:00AM (EDT) / 3:00AM (PDT) / 10:00 (UTC)

Topic: COBIT Flexibility: Cut to Size, File to Fit and Paint to Match

Speakers: Debbie Lew, Executive Director, Ernst & Young LLP

Mark Thomas, President, Escoute

Date: Thursday , 2 October 2014

Time: 12PM EDT / 11AM CDT / 9AM PDT / 16:00 UTC

Topic: The National Initiative for Cybersecurity Education in Transitions: Update and Overview

Speakers: Dr. Ernest L. McDuffie, Ph.D, Lead for the National Initiative for Cybersecurity Education (NICE)

Date: Thursday , 9 October 2014

Time: 12PM EDT / 11AM CDT / 9AM PDT / 16:00 UTC

Click on this [link](#) to find out more details or to register

You can register ahead of time for up to two new webinars each month; presented live by subject matter experts and accessible to you free of charge. Enjoy interaction with the presenter in the live format or view the entire webinar after the event on your schedule. These brief, yet extremely informative, web-based, education sessions are available in ISACA archives for up to a year after the event.

CPE Opportunity

Complete the following online survey directed toward COBIT 5 for 1 CPE Credit. The projected time to complete this survey is between fifteen and twenty minutes. The information you enter into the web based survey, delivered through the Survey Monkey website will be kept confidential. In addition, the site design controls are constructed to provide anonymity.

The Web Site URL is: <https://www.surveymonkey.com/s/FBW7WRG?c=000011>

Please note that there is no formal "CPE certificate" awarded; however, participants are advised to save a copy of their responses to substantiate their claims in case of audit.

Participants will also be entered to win One of Five \$20.00 gift cards.

For questions or more information about the survey, please contact [Robert Meyer](#).

COBIT 5

The latest edition of ISACA's globally accepted framework, COBIT has been released. COBIT provides an end-to-end business view of the governance of enterprise IT that reflects the central role of information and technology in creating value for enterprises. The principles, practices, analytical tools and models found in the latest edition –COBIT 5 embody thought leadership and guidance from business, IT and governance experts around the world.

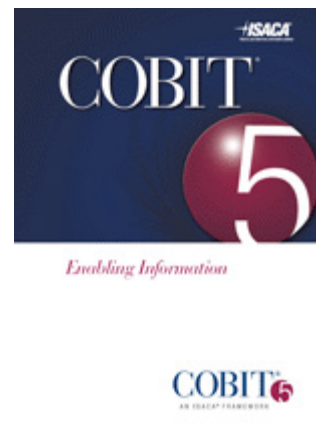
“COBIT 5: Enabling Information” is a reference guide that provides a structured way of thinking about information governance and management issues in any type of organization. This structure can be applied throughout the life cycle of information, from conception and design, through building information systems, securing information, using and providing assurance over information, and to the disposal of information.

ISACA Members and Non-Members can purchase a hard copy or download the eBook at the following prices:

eBook Format: Free to members only; Non-Members \$135

Print Book Format: Members \$35; Non-Members \$135

Click on this [link](#) to download or purchase



How to Earn and Report CPE

Did you know that ISACA certified members can earn up to 72 FREE CPEs per year!

ISACA offers opportunities to earn CPE through participation in a variety of programs and events. Several of these choices are listed below with specific instructions.

Webinars and Virtual Conferences: Up to 36 free CPEs per year. CPE quizzes are for members only.

Journal quizzes: Earn one CPE for each of six [journals](#) per year. 6 FREE CPEs per year

Serving as an ISACA Volunteer: Participate on an ISACA or ITGI board, committee, task force or as an officer of an ISACA chapter, and gain one CPE credit (up to 20 per year) for each hour of active participation. (Consult Qualifying Educational Activities for CISA, CISM, CGEIT and CRISC members.) 20 FREE CPEs per year

Mentoring: Earn one CPE for each hour of mentoring efforts directly related to coaching, reviewing or assisting an individual with CISA/CISM/CGEIT/CRISC exam preparation or providing career guidance through the credentialing process. 10 FREE CPEs per year

TOTAL Possible FREE CPEs for ISACA Certified Members: 72 FREE CPEs per year

How to Report CPEs in your Profile

CPEs are reported annually during the renewal process. CPEs earned in the current year may be entered in your profile once the next year's renewal period opens. Reporting of CPEs can be done online or by submitting the information on the annual renewal invoice.

To update CPE hours through the ISACA website, log on using your personalized log in credentials and follow the steps below.

Click on the **MY ISACA** tab at the top of the page

Click on the **MY CERTIFICATIONS** tab

Click on the **EDIT MY CPE Hours** link

The CPE reporting is located on the My Demographic, Certification CPE and Other Information tab. Scroll to the bottom of the page to view and edit the appropriate CPE fields. If you do not see a CPE section, CPE hours are not being accepted or you are not required to report CPEs yet.

Enter CPE hours – then click SAVE at the bottom of the page

For more information about the specific Continuing Professional Education (CPE) requirements for your certification, please see the following [link](#).

Company: Deloitte

Position: IT Audit / General Computer Controls, Senior Consultant

Responsibilities.

- Identify and evaluate business and technology risks, internal controls which mitigate risks, and related opportunities for internal control improvement
- Assist in the selection and tailoring of approaches, methods and tools to support service offering or industry projects
- Actively participate in decision making with engagement management and seek to understand the broader impact of current decisions
- Generate innovative ideas and challenge the status quo
- Facilitate use of technology-based tools or methodologies to review, design and/or implement products and services
- Play substantive/lead role in engagement planning, economics, and billing
- Assist in retention of Deloitte & Touche's professionals
- Participate in training efforts
- Play substantive role in enhancing relationships with Deloitte & Touche's professionals
- Demonstrate a general knowledge of market trends, competitor activities, and Deloitte & Touche's products and service lines
- Build and nurture positive working relationships with clients with the intention to exceed client expectations
- Understand client's business environment and basic risk management approaches
- Participate in proposal development efforts
- Membership and visibility in professional & civic organizations

Qualifications.

- BA/BS in Business Administration, Accounting, Computer Science, Information Systems Administration or related field; Masters in Accounting or Information Systems, or MBA a plus
- CPA, CIA®, CISA certification is a plus
- 3-5 years of related experience within professional services, internal audit or IT audit
- Demonstrated experience with internal controls, risk assessments, business process and internal IT control testing or operational auditing
- Strong background in auditing techniques and/or computer control environments
- Successful experience identifying controls, developing and executing test plans
- Demonstrated ability to write report segments and to participate in presentations
- SAP, Oracle or PeopleSoft controls experience desirable
- Experience with Sarbanes-Oxley and/or COSO is a plus
- Open to travel requirements

How to Apply:

Individuals who are interested and feel they meet the qualifications for this can do so at this [link](#) or contact Kevin Dawson at kdawson@deloitte.com

Editor's note

Email me at buky.thorpe@gmail.com or the Webmaster at JScherl@amig.com with the details of any job opportunities you are aware of. Please note that positions may have been filled or new positions added prior to the newsletter publication, hence, always look to our [website](#) for any updates and for complete details.

Meet Your New Chapter Leadership Board

In May, 2014, the following members were elected to serve on the 2014-2016 chapter leadership board.

President:	Russ Krick
Director (5-6 year):	Tom Harrow
Director (3-4 year):	Russ Krick*
Immediate Past President (1-2 year):	Jesse Hanford
Treasurer:	Marc Shapiro
Assistant Treasurer:	Dave Portman
VP Programs:	Aaron White
AVP Programs:	Brandon Carey
AVP Programs:	Robert Meyer
AVP Programs:	Nick Brenner
Secretary:	Sandy Burden
VP Membership:	Mike Smith
VP Education:	Joe Lairson
AVP Education:	Holly Johnson
AVP Education:	Reema Singh
AVP Education:	Lei Zhao
VP Communications:	Buky Thorpe
Webmaster:	Joe Scherl
Research Director:	Justin Hedrick
GRA Coordinator:	Robert Ramsay
Academic Advocate:	Jeff Merhout
Academic Relations Coordinator / Social Media Coordinator:	Jon Tudor & Jeff Daufel

*Russ Krick was elected as President due to Adam Leigh's departure from the chapter.

In today's hectic and challenging business environment, where we are faced with so many different sources of information, competing for our attention, it is increasingly challenging to create a relevant newsletter that members have the inclination to read. As we begin this new chapter year, one of our goals is to provide content that is the most beneficial to you our members and that is where you come in.

With over four hundred members in a broad range of industries, we have a vast source of experience and knowledge to harness within our chapter. If you have a brief article that may be of interest to your fellow members, I would like to hear from you.

Some examples of articles/content include:

1. A description of a productive or cost-saving audit/security technique that your organization uses
2. Your take and/or opinion on the latest PCAOB standard/update
3. A review of an audit/security tool used by your organization
4. A review of a book that you have read recently that has helped you do your job better
5. Tips and techniques for auditing/securing a particular risk area
6. A summary of an emerging technology being used in your organization and how you are controlling it
7. Live or virtual opportunities to earn CPE credits.

These are just a few ideas and not meant to be all inclusive. If you have any ideas for other content you'd like to see, let me know. The articles or content can be sent to buky.thorpe@gmail.com.

Finally, please reach out to me to let me know what you think of the newsletter; What do you like? What don't you like so much? I would love to hear from you.

Thank you,
Buky Thorpe, CISA
VP of Communications

About Our Chapter

Founded in 1973, the Greater Cincinnati ISACA Chapter is a not-for-profit professional organization serving IT Audit, Risk, Security, and Governance professionals in the Greater Cincinnati market. The chapter consists of over 450 professionals that represent a diverse mix of public, private, and not-for-profit business sectors at all levels within those industries. Members of the Greater Cincinnati ISACA Chapter have the opportunity to earn 36 CPE hours annually through various events and seminars. The greatest asset to the Greater Cincinnati ISACA Chapter is its membership community.

Purpose

To promote the education of individuals for the improvement and development of their capabilities relating to IT Audit, Security, Risk, and Governance in the field of Information Technology audit and control.

Please visit the chapter website at www.isaca-cincinnati.org to learn more.
Connect with other chapter members by joining the Greater Cincinnati ISACA LinkedIn group.

Visit www.isaca.org to learn more about the organization.