



Welcome...

September , 2015

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Greetings Fellow Greater Cincinnati ISACA Members,

I hope everybody had a fantastic summer. Our September meeting will be September 1, 2015. This is our annual member appreciation meeting. Since we met our goal for member retention this year, there will be special gifts at the meeting for all members who come to the meeting. We will also be recognizing our members who have obtained an ISACA certification within the last year.

Ron Hale, ISACA Chief Knowledge officer will be our speaker for the September meeting. Please see page 2 for additional information and to register for the meeting.

The Greater Cincinnati ISACA and the Cincinnati IIA golf outing was held July 31, 2015 at Flag Springs Golf Course. It was a great day for golf. At the awards presentation after the outing, Tom Carlisle announced that he is retiring as host with the 2016 outing. The two chapters will be coordinating to find a new host for the outing beginning in 2017.

I encourage you to join our LinkedIn group if you are not a member. I post training opportunities in the group that I learn about from other ISACA chapters. This will give you additional options for earning CPEs. We also have a Facebook page.

If you know anyone in the audit or security profession who might benefit from ISACA membership, please invite them to one of our meetings or put them in touch with our board so they can find out more!

Do you have any coworkers that are not receiving this newsletter and would like to? If so, have them send an e-mail to our Newsletter Editor, Buky Thorpe ([buky.thorpe@gmail.com](mailto:buky.thorpe@gmail.com)) and request to be included on the e-mail distribution list. If you are interested in becoming a member of the chapter, please contact our VP of Membership, Mike Smith ([mrsmith@gaic.com](mailto:mrsmith@gaic.com)). As a reminder, our website is the best place to learn about upcoming events and available job opportunities in the Greater Cincinnati ISACA area! Check it out at: [www.isaca-cincinnati.org](http://www.isaca-cincinnati.org)! As always, I am happy to hear any comments you have, so feel free to e-mail me at [rkrickisaca@gmail.com](mailto:rkrickisaca@gmail.com).

Russell Krick, CISA

# Monthly Meeting

## ISACA- Leading the Development of our Professions

**Speaker:** Ron Hale Ph.D., CISM and Chief Knowledge Officer at ISACA

**Date & Time:** Tuesday, September 1st, 2015, 5.30pm

**Location:** The Original Montgomery Inn, Montgomery, OH

### *Overview*

Ron will cover the ISACA full career approach for our professional areas of practice and use the CSX cybersecurity program as an example.

This is our membership appreciation month, there is no charge for Cincinnati ISACA members. However, both members and non-members should register by using this [link](#). For those who prefer to pay at the door, please select that option when you register at the link above.

## ISACA 2015 Certification

ISACA certification registration is currently open for the following exams:

**September exam for CISA and CISM.**

**December exam for CISA, CISM, CGEIT and CRISC.**

	Member	Non-Member
Online* final registration deadline fee	\$490	\$675
Mailed/faxed final registration deadline fee	\$565	\$750

### Important Dates:

**Exam dates:** September 12, 2015 and December 12, 2015

**Final registration deadline for September Exam:** July 24, 2015

**Final registration deadline for December Exam:** October 23, 2015

To register or find out more details visit this [link](#)

\*Online fees reflect a savings of US \$75 off the registration rate for mailed or faxed registrations!

The online registration process will enable you to register for an exam, and purchase study aids and an ISACA membership, which will immediately provide significant exam-related discounts. The final step of the process will enable you to pay online using a credit card, or indicate that payment will follow by check or wire.

Did you know that ISACA offers review courses for the certification exams? Go to this [page](#) to locate review courses or access the CISA online review course.

## Upcoming Webinars

ISACA Members can earn free CPEs by attending a 60 minute ISACA webinar. Increasing your knowledge of important and relevant IT and IS topics is just a bonus.

Here is an upcoming Webinar opportunity:

**Topic:** Mobile Risk Analysis: Take Your Mobile App Security to the Next Level

**Speaker:** Carol Alexander, Senior Director of Product Marketing for Advanced Authentication Solutions, CA Technologies

Charley Chell, Product Manager  
CA Technologies

**Date:** Thursday, 10 September 2015

**Time:** 12PM EST / 11AM CST / 9AM PST /

**Overview:**

We live in a world where everything is driven by applications, connectivity, and mobility. Your customers are now far more likely to interact with your enterprise through software than a live person, and employees are conducting more business on mobile devices than traditional laptops and workstations. A critical success factor for thriving in this new reality is the ability for the enterprise to accurately identify users in a way that is both convenient to them and cost effective, while also protecting their identities and data from potential fraudsters.

Join this webcast where Carol Alexander, Head of Authentication Solutions, and Charley Chell, Security Advisor, from CA Technologies will discuss how you can transparently leverage data from mobile devices to help identify the legitimacy of a user attempting to login or perform a sensitive transaction. Learn the key factors and considerations in using contextual authentication within your mobile applications to protect against inappropriate access and data breaches.

Click on this [link](#) to register

ISACA offers other online learning opportunities including Virtual Conferences and Virtual Instructor –led trainings (VILT). This allows you to take advantage of the content without incurring additional costs for other expenses such as travel and lodging. Click [here](#) to find out more about these and other training opportunities,

# Job Opportunities

**Company:** KPMG, LLP

**Position:** IT Audit Advisory Associate or Senior Associate

**Location:** Cincinnati, OH

## About the Company :

Do you have a passion for solving complex business problems? KPMG's Advisory Services Practice focuses on fundamental business issues — managing risk, increasing revenues, controlling costs that organizations, across various industries, should address in order to help them flourish. We help companies to identify and manage risks inherent in business processes and technology systems that support business objectives, and provide them with the information needed to help them meet their strategic and financial goals. Services are specialized to help clients mitigate risks across an overall risk spectrum. We are currently seeking an IT Audit Advisory Associate/Senior Associate to join us in our Cincinnati Ohio office.

## Job Description.

As an IT Attestation professional, you will work with clients whose business processes and use of technology have external stakeholders. Our team delivers independent third party assessments that can provide comfort to clients and their business partners through seals and distributable reports such as reports such as SysTrust and SSAE16.

## Specific Responsibilities.

- Plan and execute the day-to-day activities of IT audit engagements for a variety of clients including system development, package implementation and/or platform reviews
- Evaluate the design and effectiveness of technology controls throughout the business cycle
- Identify and communicate IT audit findings to senior management and clients
- Help identify performance improvement opportunities for assigned clients

Additional Responsibilities for Senior Associate.

- Supervise Associates and Interns on engagements
- Supervise and provide performance management for IT audit staff working on assigned engagements
- Serve as a liaison between clients and upper management

## Job Requirements.

- Qualifications for both positions.  
One year of experience in any of the following areas: internal or external IT audit, risk assessment, business process reengineering, Enterprise Resource Planning 'ERP' packages such as SAP Oracle Financials, Hyperion and Cognos, Customer Relationship Management (CRM) packages such as Siebel, IT security, project management, IT outsourcing or off shoring, and/or IT strategy
- Bachelor's degree in an appropriate field from an accredited college/university
- Exceptional interpersonal skills with ability to gain the confidence and respect of senior level executives
- Willingness and ability to travel

Additional Qualifications for Senior Associate.

- Three years of advisory services experience in any of the following areas: internal or external IT audit, risk assessment, business process reengineering, ERP packages such as SAP, Oracle Financials, Hyperion and Cognos, CRM packages such as Siebel, IT security, project management, IT outsourcing or off shoring, and/or IT strategy
- Project or team lead experience
- Strong leadership and communication skills, technical knowledge, and the ability to write at a publication quality level in order to communicate findings and recommendations to the client's senior management team

**To find out more or to apply for this position, contact :**

Brett Ballinger, Director, Risk Consulting

[bballinger@kpmg.com](mailto:bballinger@kpmg.com)

# Job Opportunities

**Company:** FirstGroup

**Position:** IT Auditor or Senior IT Auditor

**Location:** Cincinnati, OH

## About the Company :

FirstGroup PLC, headquartered in Aberdeen, Scotland, is the leading transport operator in the UK and North America, providing solutions for an increasingly congested world, keeping people moving and communities prospering. We have revenue of £6 billion, employ approximately 118,000 staff and transport over 2.5 billion passengers every year. FirstGroup operates Greyhound, First Student and First Transit in the United States, Canada and Mexico, and Bus and Rail services throughout the United Kingdom.

## Job Description.

The Group Internal Audit function is currently looking for an IT Auditor / Senior IT Auditor to join the team. This role will report to the Group IT Audit Senior Manager in Cincinnati, Ohio and support the delivery of the IT audit plan in the UK and North America. The role will be based in the North America head office in Cincinnati, Ohio.

## Specific Responsibilities.

- Conduct large and complex IT governance, infrastructure, and application audits independently and/or with a team for all divisions of FirstGroup throughout North America and the UK.
- Execute all IT audit activities in the planning, testing and reporting phases within the timeframes as set out by the internal audit methodology.
- Develop audit scope and objectives, work programs, and other deliverables of audit work.
- Execute testing of controls to assess operational and design effectiveness in mitigating risk.
- Use knowledge of risk and control concepts and industry trends to identify control recommendations and process improvement ideas.
- Prepare draft audit reports, ensuring that all areas of the audit process and results have been addressed.
- Communicate information to management through presentations and internal audit reports.
- Establish, foster and maintain working relationships with other audit staff and management within the business to support an effective workflow and continuous communication.
- Assist with annual update of the IT audit universe and carry out an IT risk assessment that aligns with the Group enterprise risks to create the IT audit plan each fiscal year.
- Perform other tasks as assigned (e.g. non-IT audits, assistance with monthly reporting to management).

## Job Requirements.

- Bachelor degree required, preferably in Management Information Systems or Computer Science. Other related academic majors (e.g. Accounting) should be accompanied by relevant work experience in IT or IT Audit.
- CISA or CISSP preferred. At a minimum, candidates should be actively pursuing certification.
- At least 2-3 years of work experience in IT Audit required. Ideal candidate has working knowledge or expertise in one or more of the following: operating system technologies, database technologies, networking technologies, cloud security, and application development. This is preferred, but not required.
- Strong written and oral communication skills. Must have the ability to communicate technical IT issues so that a non-IT audience can understand the significance of the subject matter being discussed.
- Ability to maintain professional composure in a dynamic work environment that often requires completion of multiple tasks.
- Must be a self-starter and be results oriented; possess sound judgment and ability to apply logical/critical thought processes when developing business solutions.
- Proficient in Microsoft Office (Word, Excel, PowerPoint, Visio).
- Ability to travel up to 30-33% within the United States, Canada, and UK. Ability to travel two weeks at a time when overseas (maximum three trips per year). 30-33% is the MAXIMUM travel per year.

**To find out more or to apply for this position, contact :**

Nina Berndsen, Group IT Audit Senior Manager

[Nina.Berndsen@firstgroup.com](mailto:Nina.Berndsen@firstgroup.com) ; Ph. 1 513 545 3952

# Job Opportunities

**Company:** Fifth Third Bank

**Position:** Senior IT Risk Analyst (two openings)

**Location:** Cincinnati, OH

## About the Company :

Fifth Third Bancorp is a diversified financial services company headquartered in Cincinnati, Ohio. As of December 31, 2014, the Company had \$139 billion in assets and operated 15 affiliates with 1,302 full-service Banking Centers, including 101 Bank Mart® locations, most open seven days a week, inside select grocery stores and 2,638 ATMs in Ohio, Kentucky, Indiana, Michigan, Illinois, Florida, Tennessee, West Virginia, Pennsylvania, Missouri, Georgia and North Carolina. Fifth Third operates four main businesses: Commercial Banking, Branch Banking, Consumer Lending, and Investment Advisors. Fifth Third also has a 22.8% interest in Vantiv Holding, LLC. Fifth Third is among the largest money managers in the Midwest and, as of December 31, 2014, had \$308 billion in assets under care, of which it managed \$27 billion for individuals, corporations and not-for-profit organizations. Investor information and press releases can be viewed at [www.53.com](http://www.53.com). Fifth Third's common stock is traded on the NASDAQ® Global Select Market under the symbol "FITB."

## Job Description.

This position is responsible for implementing information technology risk management strategies identified by the IT Risk Manager. In this role, the Senior IT Risk Analyst will be assigned overall responsibility for key areas and will have accountability for proper planning, prioritization and execution of supporting IT risk responsibilities. This position is responsible for hands-on execution of control/risk assessments and the development of control enhancement recommendations.

## Specific Responsibilities.

- Support the IT Risk Manager in the execution of responsibilities to conduct risk assessments, implement self-assessment programs, perform technical research on risk topics, and other activities that support risk management goals for the IT Division. Some of the primary responsibilities include:
- Support the IT Risk Manager on the implementation of information technology risk management strategy and operating priorities.
- Support the integration of the IT Risk Management practices into key Information Technology and business areas.
- Build effective relationships with key individuals who own and support processes you are responsible for evaluating, including the appropriate line-of-business risk managers.
- Perform ongoing planning and prioritization of key projects and activities to ensure that resources are applied to the most critical areas. Communicate with the IT Risk Manager, as needed, to ensure proper prioritization and management of workload.
- Participate on projects and ensure that key IT risks are being adequately addressed. Coordinate with project managers to ensure that issues are identified, action plans are in place and that PLC requirements are being met.
- Perform risk assessments on key IT processes or assets, identify vulnerabilities and propose solutions to mitigate risk. Perform due diligence and risk assessments on IT service providers.
- Work with IT areas in developing an effective self-assessment process for proactively identifying risks associated with processes, applications and technical infrastructure components.
- Support compliance with applicable regulations, which include, but is not limited to the following: the FDIC Improvement Act, the Sarbanes-Oxley Act of 2002 and the Gramm-Leach-Bliley Act of 1999.
- Support the resolution of Internal Audit, regulatory, or Risk Management related issues that could impact the confidentiality, availability or integrity of data or processes.
- Create effective risk assessment documentation supporting work performed, including formal communication on risk assessment results. Be able to deliver effective presentations to management on summary of work performed and findings.

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**Job Requirements.**

Two to four years of information technology experience required. Desired experience should include a foundation in IT security and controls. While experience in a number of IT disciplines may provide a solid framework for this position, hands-on results from performing IT risk assessments, information security consulting or IT audits are most beneficial. At least one relevant technical or professional certification, such as CISA or CISSP, is required.

Bachelor's degree required, preferably in computer science or information systems. Must possess excellent written and verbal communication skills, with a proven track record of interacting effectively with end-users and technology professionals. Able to work on multiple projects concurrently, manage time effectively and require minimal supervision in the execution of IT Risk Analyst responsibilities. Must possess strong analytical capabilities and have a desire to learn new things. Less than 10% travel required.

**To apply for this position, visit <https://www.53.com/careers/index.html>**

Requisition #: 146609

**To find out more, contact .**

Justin Hedric, VP – Senior IT Risk Manager

[Justin.hedric@53.com](mailto:Justin.hedric@53.com)

513.534.8648

## Risk Scenarios Using COBIT 5 for Risk

Risk Scenarios Using COBIT 5 for RISK is now available!

Scenario analysis has become an important component of enterprise risk management. Risk scenarios are recognized as powerful tools that help risk professionals prepare for the unexpected.

Risk Scenarios Using COBIT 5 for Risk provides:

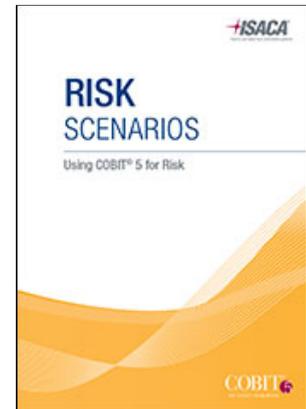
- Detailed guidance on the development of IT-related risk scenarios
- Guidance on how to use COBIT 5 for Risk to solve for current business issues
- An overview of risk concepts and how the COBIT 5 enablers can help in risk management activities

The accompanying toolkit contains interactive risk scenario templates.

**ISACA Members and Non-Members can purchase a hard copy or download the eBook at the following prices:**

**Members-** Download your free PDFs [here](#)

**Non-members**—[Join ISACA today](#) to get your Free PDF, or [purchase the pdfs](#) for US \$60.00 each.



To download or purchase a copy of Cobit 5, members and non members can go [here](#).

## How to Earn and Report CPE

**Did you know that ISACA certified members can earn up to 72 FREE CPEs per year!**

ISACA offers opportunities to earn CPE through participation in a variety of programs and events. Several of these choices are listed below with specific instructions.

**Webinars and Virtual Conferences.** Up to 36 free CPEs per year. CPE quizzes are for members only.

**Journal quizzes.** Earn one CPE for each of six [journals](#) per year. 6 FREE CPEs per year

**Serving as an ISACA Volunteer.** Participate on an ISACA or ITGI board, committee, task force or as an officer of an ISACA chapter, and gain one CPE credit (up to 20 per year) for each hour of active participation. (Consult Qualifying Educational Activities for CISA, CISM, CGEIT and CRISC members.) 20 FREE CPEs per year

**Mentoring.** Earn one CPE for each hour of mentoring efforts directly related to coaching, reviewing or assisting an individual with CISA/CISM/CGEIT/CRISC exam preparation or providing career guidance through the credentialing process. 10 FREE CPEs per year

**TOTAL Possible FREE CPEs for ISACA Certified Members.** 72 FREE CPEs per year

### How to Report CPEs in your Profile

CPEs are reported annually during the renewal process. CPEs earned in the current year may be entered in your profile once the next year's renewal period opens. Reporting of CPEs can be done online or by submitting the information on the annual renewal invoice.

To update CPE hours through the ISACA website, log on using your personalized log in credentials and follow the steps below.

Click on the **MY ISACA** tab at the top of the page

Click on the **MY CERTIFICATIONS** tab

Click on the **EDIT MY CPE Hours** link

The CPE reporting is located on the My Demographic, Certification CPE and Other Information tab. Scroll to the bottom of the page to view and edit the appropriate CPE fields. If you do not see a CPE section, CPE hours are not being accepted or you are not required to report CPEs yet.

Enter CPE hours – then click SAVE at the bottom of the page

For more information about the specific Continuing Professional Education (CPE) requirements for your certification, please see the following [link](#).

Our newsletter has undergone a little bit of a makeover but my goal is still to bring you information that is interesting and of use to you. So, as always, I welcome your feedback and input. In today's hectic and challenging business environment, where we are faced with so many different sources of information, competing for our attention, it is increasingly challenging to create a relevant newsletter that members have the inclination to read.

With over four hundred members in a broad range of industries, we have a vast source of experience and knowledge to harness within our chapter. If you have a brief article that may be of interest to your fellow members, I would like to hear from you.

Some examples of articles/content include:

1. A description of a productive or cost-saving audit/security technique that your organization uses
2. Your take and/or opinion on the latest PCAOB standard/update
3. A review of an audit/security tool used by your organization
4. A review of a book that you have read recently that has helped you do your job better
5. Tips and techniques for auditing/securing a particular risk area
6. A summary of an emerging technology being used in your organization and how you are controlling it
7. Live or virtual opportunities to earn CPE credits.

These are just a few ideas and not meant to be all inclusive. If you have any ideas for other content you'd like to see, let me know. The articles or content can be sent to [buky.thorpe@gmail.com](mailto:buky.thorpe@gmail.com).

Finally, please reach out to me to let me know what you think of the newsletter; What do you like? What don't you like so much? I would love to hear from you.

Thank you,  
Buky Thorpe, CISA  
VP of Communications

# About Our Chapter

Founded in 1973, the Greater Cincinnati ISACA Chapter is a not-for-profit professional organization serving IT Audit, Risk, Security, and Governance professionals in the Greater Cincinnati market. The chapter consists of over 450 professionals that represent a diverse mix of public, private, and not-for-profit business sectors at all levels within those industries. Members of the Greater Cincinnati ISACA Chapter have the opportunity to earn 36 CPE hours annually through various events and seminars. The greatest asset to the Greater Cincinnati ISACA Chapter is its membership community.

## **Purpose**

To promote the education of individuals for the improvement and development of their capabilities relating to IT Audit, Security, Risk, and Governance in the field of Information Technology audit and control.

Please visit the chapter website at [www.isaca-cincinnati.org](http://www.isaca-cincinnati.org) to learn more.  
Connect with other chapter members by joining the Greater Cincinnati ISACA LinkedIn group.

Visit [www.isaca.org](http://www.isaca.org) to learn more about the organization.